Principal® 信安

信安信託（亞洲）有限公司

香港九龍觀塘
觀塘道392號創紀之城6期30樓
傳真：(852) 2827-1707
客戶服務熱線：(852) 2827-1233
網址：www.principal.com.hk

信安強積金計劃600系列、信安強積金計劃800系列、信安信託（亞洲）有限公司退休計劃及信安集成退休計劃－ PD （「該計劃」）

參與僱主及成員通知

致參與僱主及成員：

感謝閣下一如既往地支持該計劃。

茲致函通知閣下，《2019年稅務（修訂）（第2號）條例》（《**修訂條例**》）已於2019年3月1日刊憲，當中與強積金及職業退休計劃有關的「就稅務事宜自動交換財務帳戶資料」（**自動交換資料**）【Automatic Exchange of Financial Account Information in Tax Matters (AEOI)】的稅務事宜安排的修訂部分將於2020年1月1日生效。實行自動交換資料的目的是配合經濟合作與發展組織的「共同匯報標準」，以履行本港作為國際金融中心相關的義務和責任。

《修訂條例》後，強積金及職業退休計劃需按條例要求遵守自動交換資料框架下要求的盡職審查及申報責任，收集計劃成員的稅務居民身份資料，以便稅務局可與自動交換資料夥伴的稅務管轄區交流資料。因此，所有於2020年1月1日當天或之後的強積金及職業退休計劃帳戶的新開戶申請，申請人必須向強積金受託人或職業退休計劃僱主／管理人提交自我證明以申報其稅務居民身份，否則，強積金／職業退休計劃帳戶開戶程序將無法完成。

鑑於上述事宜，我們現附上一份更新的個人資料收集說明書供閣下參閱。

閣下如有任何疑問，可致電我們的客戶服務熱線（如下）或參閱網址www.principal.com.hk的「信安新知」。

計劃名稱	熱線
信安強積金計劃600系列／ 800系列	(852) 2251 9322（供僱主查詢） (852) 2827 1233（供成員查詢）
信安信託（亞洲）有限公司退休計劃	
信安集成退休計劃－ PD	

信安信託（亞洲）有限公司
2019年11月

Principal® 信安

Principal Trust Company (Asia) Limited

30/F, Millennium City 6
392 Kwun Tong Road,
Kwun Tong, Kowloon, Hong Kong
Fax: (852) 2827-1707
Customer Service Hotline: (852) 2827-1233
Website: www.principal.com.hk

**Principal MPF Scheme Series 600, Principal MPF Scheme Series 800, Principal Trust Company (Asia) Limited Retirement Scheme and Principal Pooled Retirement Plan-PD
("the Schemes")**

Notice to Participating Employers and Members

Dear participating employers and members,

Thank you for your continued support of the Schemes.

We are writing to inform you that the Inland Revenue (Amendment) (No.2) Ordinance 2019 ("**Amendment Ordinance**") was gazetted on 1 March 2019, under which the amendment of the taxation arrangement for the Automatic Exchange of Financial Account Information in Tax Matters ("**AEOI**") relating to MPF schemes and ORSO schemes will take effect on 1 January 2020. The objective of implementing AEOI is to comply with the Organisation for Economic Co-operation and Development's Common Reporting Standard in fulfilling Hong Kong's obligations and responsibilities as an international financial centre.

Under the Amendment Ordinance, MPF schemes and ORSO schemes are required to comply with the due diligence and reporting obligations relating to the AEOI by collecting the tax residence information of scheme members and reporting to the Inland Revenue Department ("**IRD**") the account information of those members who are tax residents outside Hong Kong. The IRD will transmit the information to the relevant tax authorities in AEOI partner jurisdictions. Hence, self-certification will be required from account holders for all new MPF and ORSO accounts opened on or after 1 January 2020 for submitting to MPF trustees or ORSO employers/administrators to verify scheme members' tax residency. Otherwise, the account opening process will be adversely affected and could not be completed.

In light of the above, we enclose herewith an updated Personal Information Collection Statement for your attention.

If you have any questions, you may contact our customer service hotlines below or visit "What's New" of our website at www.principal.com.hk.

Scheme Name	Hotline
Principal MPF Scheme Series 600/Series 800	(852) 2251 9322 (for employers) (852) 2827 1233 (for members)
Principal Trust Company (Asia) Limited Retirement Scheme	
Principal Pooled Retirement Plan-PD	

Principal Trust Company (Asia) Limited
November 2019

Personal Information Collection Statement

The provision of information and other personal data by you is on a voluntary basis. However, failure to provide us with the information and other personal data as requested may result in your application/instruction not being able to be processed. The information and other personal data provided by you will only be accessed and handled by the Companies[1] and the relevant persons stated herein below.

The information and other personal data collected from you from time to time will be used for the purposes of: (1) processing your application for participation under the Schemes; (2) administering and managing your contributions and accrued benefits under the Schemes; (3) carrying out your instructions or responding to any enquiries given or purporting to be given by you or on your behalf; (4) direct marketing of mandatory provident fund ("**MPF**"), retirement schemes, MPF and retirement schemes related services and products of the Trustee[2] and its member company(ies); (5) providing MPF and retirement schemes related services; (6) maintaining statistical data and providing a database for product and market research; (7) compliance with applicable laws, regulations, guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers within or outside the Hong Kong Special Administrative Region ("**Hong Kong**"), including but not limited to the Foreign Account Tax Compliance Act and the Common Reporting Standard; and (8) any other purposes relating or incidental to the above.

Furthermore, for the purpose of automatic exchange of financial account information, such information and information regarding the account holder and any reportable account(s) may be reported by the Trustee and its member company(ies) to the Inland Revenue Department of the Government of Hong Kong ("**IRD**") and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112 of the Laws of Hong Kong). You shall advise us of any change in circumstances which affects the tax residency status of the account holder, and to provide us with a suitably updated self-certification form within 30 days of such change in circumstances.

You may visit the IRD website that sets out information relating to the implementation of automatic exchange of financial account information in Hong Kong: http://www.ird.gov.hk/eng/tax/dta_aeoi.htm.

Your personal data (name, contact details and account records) may be used for direct marketing of MPF products, retirement schemes, MPF and retirement schemes related services and products of the Trustee and its member company(ies) only with your consent.

Your personal data may be transferred/disclosed to the following parties (whether within or outside Hong Kong) for any of the purposes stated above: (1) any agent, contractor, third party service provider, or any company(ies) within the same group of companies to which the Trustee belongs which provides administrative, telecommunications, computer, data processing or storage, marketing, professional or other services to the Trustee in connection with their business operations; (2) any person to whom the Trustee is under an obligation to make disclosure under the requirements of any laws and regulations binding on the Trustee or any of its member company(ies) or under and for the purposes of any guidelines issued by regulatory, tax or other authorities with which the Trustee or its member companies are expected to comply; and (3) any actual or proposed assignee of the Trustee or participant or sub-participant or transferee of the rights of the Trustee in respect of you.

Under the Personal Data (Privacy) Ordinance (Cap.486 of the Laws of Hong Kong), you have a right to request access to and correction of any of your personal information held by the Companies and to request not to use your personal data for direct marketing purpose as stated above. The aforesaid requests can be made in writing to: Data Protection Officer, Principal Trust Company (Asia) Limited, 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong. If you have any questions or wish to know more about our privacy policy, please send your enquiry to the above address or contact our hotlines below.

Scheme Name	Hotline
Principal MPF Scheme Series 600/Series 800	(852) 2251 9322 (for employers) (852) 2827 1233 (for members)
Principal Trust Company (Asia) Limited Retirement Schemes	
Principal Pooled Retirement Plan-PD	

1 *"Companies" refers to the following entities:–*
   (a) For Principal MPF Scheme Series 600 and Series 800: Principal Trust Company (Asia) Limited ("the Trustee and Administrator") and its affiliate(s), the sponsor and the promoter of the schemes; and
   (b) For Principal Trust Company (Asia) Limited Retirement Scheme and Principal Pooled Retirement Plan-PD: Principal Trust Company (Asia) Limited ("the Trustee and Administrator") and its affiliate(s), the sponsor and the promoter of the schemes.

2 *"Trustee" refers to Principal Trust Company (Asia) Limited.*

個人資料收集說明書

閣下提供的資料及其他個人資料純屬自願性質。然而，如未能提供所需資料及其他個人資料，可能導致閣下的申請／指示不獲處理。閣下提供的資料及其他個人資料僅供該公司[3]及以下所指的相關人士／機構使用及處理。

向閣下所收集的資料及其他個人資料將會用作下列用途：(1)處理閣下就該計劃的申請；(2)處理及管理閣下於該計劃的供款及累算權益；(3)執行閣下的指示或答覆閣下或閣下代表的查詢；(4)直接促銷受託人[4]及其成員公司的強制性公積金(「**強積金**」)產品、退休計劃、強積金及退休計劃的相關服務及產品；(5)提供強積金及退休計劃的相關服務；(6)維持統計數據及用作產品及市場研究資料庫；(7)遵守有關不論於香港特別行政區境內或境外的任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的任何適用的法律、規則、指引或指導，包括但不限於《外國帳戶稅務合規法案》及共同匯報標準；及(8)用作與任何上述有關的用途。

除上述以外，受託人及其成員公司可根據<稅務條例>(香港法例第112章)有關交換財務帳户資料的法律條文，及作自動交換財務帳户資料用途，把該等資料和關於帳户持有人及任何須申報帳户的資料向香港特別行政區政府稅務局(「**稅務局**」)申報，從而把資料轉交到帳户持有人的稅務管轄區的稅務當局。如情況有所改變，以致影響帳户持有人的稅務居民身分，閣下會通知本公司，並會在情況發生改變後30日內，向本公司提交一份已適當更新的自我證明表格。

閣下可參閱稅務局網站http://www.ird.gov.hk/chi/tax/dta_aeoi.htm以了解香港實施自動交換財務帳户資料的詳情。

只有在閣下的同意下，閣下的個人資料(姓名，聯絡資料和戶口記錄)或會用於直接促銷本公司及其成員公司的強制性公積金的產品，退休計劃，強積金相關的服務和產品。

閣下的個人資料可能轉移／披露予以下的人士(不論在香港特別行政區內外)作為上述所載的任何用途：(1)在業務上向受託人提供行政、電訊、電腦、數據處理或儲存、市場推廣、專業或其他任何服務的代理、承包商、第三方服務供應商或本公司所屬公司集團旗下的任何公司；(2)就受託人或其成員公司所需遵守的法律及規則要求，或按監管機構、稅務或其他主管機構要求受託人或其成員公司需遵守的指引，受託人因而有責任要向其披露的任何人士；及(3)允許任何受託人的實際或建議承讓人或受託人所持客戶權益的分享者、再分享者、受讓人擁有有關客戶資料的權利。

根據個人資料(私隱)條例(香港法例第486章)，閣下有權要求查閱及更正該公司所持有閣下的個人資料及要求閣下的個人資料不被用作上述的直接促銷用途。上述要求可以書面形式通知保障資料主任，信安信託(亞洲)有限公司，香港九龍觀塘觀塘道392號創紀之城6期30樓，閣下如有任何疑問或欲進一步了解本公司的私隱政策，請致函到上述地址或致電本公司的熱線(如下)。

計劃名稱	熱線
信安強積金計劃600系列／ 800系列	(852) 2251 9322(供僱主查詢) (852) 2827 1233(供成員查詢)
信安信託(亞洲)有限公司退休計劃	
信安集成退休計劃－ PD	

3 *「該公司」指以下機構：－*
   (a) 信安強積金計劃600系列及800系列：信安信託(亞洲)有限公司(「受託人及管理人」)及其相關聯公司、有關計劃的保薦人及推銷商；及
   (b) 信安信託(亞洲)有限公司退休計劃及信安集成退休計劃－ PD：信安信託(亞洲)有限公司(「受託人及管理人」)及其相關聯公司、有關計劃的保薦人及推銷商

4 *「受託人」指信安信託(亞洲)有限公司*