



**APPLICATION FORM for PRINCIPAL MPF SCHEME SERIES 800
(SPECIAL VOLUNTARY CONTRIBUTIONS)**
信安強積金計劃 800系列之特別自願性供款申請表格

NOTE 注意:

- This Application Form shall only be used in conjunction with the MPF Scheme Brochure. The details specified in this form shall apply for the purposes of Principal MPF Scheme Series 800 ("the Scheme"). 本申請表將連同信安的強積金計劃說明書一併使用。申請表內的資料，將用於此計劃之事宜上。
- Please return this application form with a photocopy of your HKID card (which shall be certified as true copy by a MPF Intermediary, an accounting professional, an estate agent, a legal professional or a Trust Company Service Provider licensee), address proof and your cheque (if any) to Principal. If the nationality reported does not match with the information shown on the copy of identity proof, client must also provide copy of nationality proof bearing photograph. 請將身份證副本(該文件須經由強積金中介人、會計專業人士、地產代理、法律專業人士或信託或公司服務持牌人核實為真實副本)、住址證明及支票(如有)連同本申請表格一併遞交本公司。如所申報之國籍與提交的身份證明文件上之資料不相符，客戶必須另外附上附有相片的國籍證明副本。
- Special Voluntary Contributions Member may withdraw the account balance of not more than 4 times in each financial year free of charge. Additional withdrawal will be subject to a handling charge of HK\$300 for each withdrawal and the said fees will be deducted from the withdrawal value. 在每個財政年度內，特別自願性供款之成員可從帳戶內免費提取款項不超過四次，額外提款則按次從提款中扣除港幣三百元之手續費。

(FOR OFFICE USE ONLY 公司專用)

Serial No.

SECTION 1 – 第一部份		PARTICULARS OF SCHEME PARTICIPANT 計劃參與者資料	
Name* 姓名* (English 英文) (must be identical to that on the HKID Card & please provide a copy of the document 必須與香港身份證上之姓名相符及請附上有關文件的副本。)		(Chinese 中文)	
Surname 姓		Title* 稱謂*	<input type="checkbox"/> Mr先生 <input type="checkbox"/> Mrs太太 <input type="checkbox"/> Ms女士 <input type="checkbox"/> Miss小姐
First Name 名		Nationality 國籍	Place of Birth# 出生地點#
HKID Card / Passport No.* 香港身份證/護照號碼*	Date of Birth* 出生日期* (DD/MM/YYYY 日/月/年)	Job Title 職銜	
Nature of Business 業務性質			
<input type="checkbox"/> (01) Catering 飲食業	<input type="checkbox"/> (02) Construction 建造業	<input type="checkbox"/> (03) Manufacturing 製造業	
<input type="checkbox"/> (04) Financing / Banking Services 銀行及金融業	<input type="checkbox"/> (05) Community/Social Personal Services 社會服務業	<input type="checkbox"/> (06) Wholesale/Retail/Import & Export 批發/零售/出入口	
<input type="checkbox"/> (07) Transport 運輸業	<input type="checkbox"/> (08) Cleaning 清潔業	<input type="checkbox"/> (09) Security Guard 保安業	
<input type="checkbox"/> (10) Hairdressing & Beauty 髮型及美容	<input type="checkbox"/> (88) Others 其他 (please specify 請說明) _____		
Current Residence Address* 現時住址*			
1. P.O. Box address is not accepted. 不接納郵箱地址。			
2. Please provide address proof within the past 3 months. 請提供最近3個月內之住址證明。			
3. This address will automatically apply to ALL accounts maintained with Principal. 此地址將自動適用於所有於信安登記之帳戶。			
Flat/Room 室	Floor 樓	Block 座	Name of Building 大廈名稱
Street No. 街/道號碼	Name of Street 街/道名稱		District 區/City 城市
<input type="checkbox"/> H.K 香港	<input type="checkbox"/> KLN. 九龍	<input type="checkbox"/> N.T 新界	
Country 國家		Post Code/Zip Code 郵政編碼/郵遞區號碼	
Mailing Address* 通訊地址* (If different from Current Residence Address 如與現時住址不同)			
Flat/Room 室	Floor 樓	Block 座	Name of Building 大廈名稱
Street No. 街/道號碼	Name of Street 街/道名稱		District 區/City 城市
<input type="checkbox"/> H.K 香港	<input type="checkbox"/> KLN. 九龍	<input type="checkbox"/> N.T 新界	
Country 國家		Post Code/Zip Code 郵政編碼/郵遞區號碼	

P45_SVC_v.1.Apr24



*CASE-SEPARATOR**DEFKBC-NBPRSER* *OBJTNBAPPFORM*

SECTION 3 – LUMP SUM CONTRIBUTION
第三部份 整筆供款

Lump Sum Contribution Enclosed 附上整筆供款 HK\$港幣 _____元 (subject to a minimum of HK\$1,000 最低供款額為港幣 1,000 元)

Bank Name 銀行名稱 : _____ Cheque No. 支票號碼 : _____

Lump sum contribution payment must be made by a crossed cheque payable to “PTC-MPF S800”. Any subsequent lump sum contribution can be made by submitting the “Change of Regular Contributions and Lump Sum Special Voluntary Contributions (“SVC”)” form together with the cheque payment.

請附上劃線支票支付整筆供款，支票抬頭請填寫「信安強積金計劃 800 系列」。閣下日後之整筆供款請以支票連同更改定期供款及整筆特別自願性供款表格一併遞交。

Key Features and Special Note to DIS 預設投資策略的主要特點及特別說明：

- ◆ DIS is not a fund, it is a strategy that uses two constituent funds, namely the CAF and A65F to automatically reduce the risk exposure as the member approaches retirement age. 預設投資策略並非一項基金，而是一項運用兩項成分基金，即信安核心累積基金與信安 65 歲後基金的策略，而該策略隨著成員步向退休年齡而自動降低成員的風險。
- ◆ Members are allowed to choose the fund choices on special voluntary contribution. For unspecified case, the future contributions and accrued benefits transferred from another MPF scheme will be set to DIS. 成員可就特別自願性供款選擇基金。若沒有指示，未來供款和轉移自另一項強積金計劃的累算權益將設定為預設投資策略。
- ◆ Members are free to choose to invest in the DIS. Also, members can select a combination of DIS and other constituent funds including CAF and A65F. 成員可自由選擇按照預設投資策略下投資。同時，成員亦可混合選擇預設投資策略及其他成分基金，包括信安核心累積基金及信安 65 歲後基金。
- ◆ The automatic de-risking feature will only apply to members who have selected or defaulted to DIS. This feature does not apply if member has chosen the CAF and A65F as a standalone constituent fund choice. 自動降低投資風險之特性只適用於成員因已選擇或基於預設投資安排於預設投資策略中。此特性並不適用於成員選擇信安核心累積基金及信安 65 歲後基金為獨立之成分基金。

SECTION 4 – INVESTMENT OPTIONS
第四部份 投資選擇

Constituent Funds 基金種類		Percentage Allocation of Special Voluntary Contributions 特別自願性供款之百分比
Default Investment Strategy (DIS) 預設投資策略	(DIS)	%
Principal Age65 Plus Fund 信安 65 歲後基金	(A65F)	%
Principal Core Accumulation Fund 信安核心累積基金	(CAF)	%
Principal MPF Conservative Fund 信安強積金保守基金	(MCF)	%
Principal HK Dollar Savings Fund 信安港元儲蓄基金	(HKDSF)	%
Principal Asian Bond Fund 信安亞洲債券基金	(ABF)	%
Principal Hong Kong Bond Fund 信安香港債券基金	(HKBF)	%
Principal International Bond Fund 信安國際債券基金	(IBF)	%
Principal Stable Yield Fund 信安平穩回報基金	(SYF)	%
Principal Long Term Accumulation Fund 信安長線增值基金	(LTAF)	%
Principal Global Growth Fund 信安環球增長基金	(GGF)	%
Principal Aggressive Strategy Fund 信安進取策略基金	(ASF)	%
Principal US Equity Fund 信安美國股票基金	(USEF)	%
Principal Asian Equity Fund 信安亞洲股票基金	(AEF)	%
Principal China Equity Fund 信安中國股票基金	(CEF)	%
Principal Hang Seng Index Tracking Fund 信安恒指基金	(HSITF)	%
Principal Hong Kong Equity Fund 信安香港股票基金	(HKEF)	%
Principal International Equity Fund 信安國際股票基金	(IEF)	%
Total percentage 百分比總和		100%

Remarks 備註:


1. If the total investment allocation does not equal to 100%, the Trustee will invest all contributions in the default fund of the Scheme, **Default Investment Strategy**. 如投資分配總數不等於 100%，受託人會將所有供款投資在指定基金內 — 則**預設投資策略**。
2. All the contributions and transfer-in money for special voluntary contributions will be invested according to the above stated investment direction. 上述投資基金分配比例適用於特別自願性供款之所有供款及轉入之資金。
3. Percentages indicated must be an integer and add up to 100%. 所示之比例必須為整數，而每欄總和必須為 100%。

Section 5 must be completed by member . Please read the “Important Notes” on page 7 before complete this section.
第五部份必須由成員填寫，閣下填寫此部份前請閱讀前第 7 頁的「重要提示」。

Section 5 – Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”) *
第五部份 居留司法管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）*

(i) I hereby declare that, to the best of my knowledge and belief: 以本人所知及所信，在此聲明：
(Please put a “✓” in the following box as appropriate.) (如適用，請在下面的方格上填上「✓」。)

My Tax Residence is 本人之稅務居住地為

 **Hong Kong ONLY, with no tax residence in any other jurisdictions or countries (and my HKID number is my TIN)**
只有香港及沒有處於任何其他司法管轄區或國家的稅務居住地(而我的香港身份證號碼是我的稅務編號)
(You may skip (ii).您可略過(ii)部份。)

If the box above does not apply, please proceed to (ii) which must be filled in. 如果上面的方格不適用，請填寫 (ii) 部份。

(ii) Please indicate: (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes; and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence. 請列明: (a) 帳戶持有人的居留司法管轄區，亦即帳戶持有人的稅務管轄區(香港包括在內); 及 (b) 該居留司法管轄區發給帳戶持有人的稅務編號。列出所有(不限於 5 個)居留司法管轄區。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number. 如帳戶持有人的香港稅務居民，稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C: 如沒有提供稅務編號，必須填寫合適的理由：

Reason 理由

- A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
帳戶持有人的居留司法管轄區並沒有向其居民發出稅務編號。
- B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
帳戶持有人不能取得稅務編號。如選取這一理由，解釋帳戶持有人不能取得稅務編號的原因。
- C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.
帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

Jurisdiction of Residence* 居留司法管轄區*	TIN* 稅務編號*	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號，填寫理由 A、B 或 C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選擇理由 B，解釋帳戶持有人不能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

(iii) If you are not a tax resident in the Jurisdiction of Current Residence Address or Mailing Address (i.e. _____), please select one of the following reasons as applicable. 如果您不是現時住址或通訊地址的稅務居民(即: _____)，請根據情況選擇以下一個原因。

- I am a student at an educational institution in the jurisdiction where I currently reside and hold the appropriate visa; or
本人是一名學生，就讀於目前居留司法管轄區內的教育機構，並持有相關的有效簽證; 或
- I am a teacher, a trainee, or intern at an educational institution or a participant in an educational or cultural exchange visitor program in the jurisdiction where I currently reside, and hold the appropriate visa; or
本人是一名在目前居留司法管轄區的教師、培訓人員、實習生、或教育或文化交流訪問計劃的參加者，並持有相關的有效簽證; 或
- I am a foreign individual assigned to a diplomatic post or a position in a consulate or embassy in the jurisdiction where I currently reside; or
本人是一名外國人，並被派駐目前居留司法管轄區之外交崗位或在目前居留司法管轄區的領事館或大使館擔任職位; 或
- I am an employee working on a vessel, truck or train travelling between the jurisdiction where I currently reside and other jurisdictions.
本人是一名來往目前居留司法管轄區及其他居留司法管轄區之間行駛途中的船隻、卡車或火車上的僱員。
- The aforementioned reasonable explanation is NOT applicable to me and I therefore provide the following reasonable explanation to support my jurisdiction of tax residence:
上述合理解釋皆不適用，因此本人提供下列合理解釋以支持本人的稅務居住地區：

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000). 警告: 根據《稅務條例》第 80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即\$10,000）罰款。

Personal Information Collection Statement

The provision of information and other personal data by you is on a voluntary basis. However, failure to provide us with the information and other personal data as requested may result in your application/instruction not being able to be processed. The information and other personal data provided by you will only be accessed and handled by Principal Trust Company (Asia) Limited ("the Trustee and Administrator") and its affiliate(s), Principal Insurance Company (Hong Kong) Limited ("the sponsor"), Principal Investment & Retirement Services Limited ("the promoter") (collectively, "the Companies") and the relevant persons stated herein below.

The information and other personal data collected from you from time to time will be used for the purposes of: (1) processing your application for participation under Principal MPF Scheme Series 800 ("the Scheme"); (2) administering and managing your contributions and accrued benefits under the Scheme; (3) carrying out your instructions or responding to any enquiries given or purporting to be given by you or on your behalf; (4) direct marketing of mandatory provident fund ("MPF"), retirement schemes, MPF and retirement schemes related services and products of Principal Trust Company (Asia) Limited and its member company(ies); (5) providing MPF and retirement schemes related services; (6) maintaining statistical data and providing a database for product and market research; (7) compliance with applicable laws, regulations, guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers within or outside the Hong Kong Special Administrative Region ("Hong Kong"), including but not limited to the Foreign Account Tax Compliance Act and the Common Reporting Standard; and (8) any other purposes relating or incidental to the above.

Furthermore, for the purpose of automatic exchange of financial account information, such information and information regarding the account holder and any reportable account(s) may be reported by the Trustee and its member company(ies) to the Inland Revenue Department of the Government of Hong Kong ("IRD") and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112 of the Laws of Hong Kong). You shall advise us of any change in circumstances which affects the tax residency status of the account holder, and to provide us with a suitably updated self-certification form within 30 days of such change in circumstances.

You may visit the IRD website that sets out information relating to the implementation of automatic exchange of financial account information in Hong Kong: http://www.ird.gov.hk/eng/tax/dta_aeoi.htm.

Your personal data (name, contact details and account records) may be used for direct marketing of MPF products, retirement schemes, MPF and retirement schemes related services and products of the Trustee and its member company(ies) only with your consent.

Your personal data may be transferred/disclosed to the following parties (whether within or outside Hong Kong) for any of the purposes stated above: (1) any agent, contractor, third party service provider, or any company(ies) within the same group of companies to which the Trustee belongs which provides administrative, telecommunications, computer, data processing or storage, marketing, professional or other services to the Trustee in connection with their business operations; (2) any person to whom the Trustee is under an obligation to make disclosure under the requirements of any laws and regulations binding on the Trustee or any of its member company(ies) or under and for the purposes of any guidelines issued by regulatory, tax or other authorities with which the Trustee or its member companies are expected to comply; and (3) any actual or proposed assignee of the Trustee or participant or sub-participant or transferee of the rights of the Trustee in respect of you.

Under the Personal Data (Privacy) Ordinance (Cap.486 of the Laws of Hong Kong), you have a right to request access to and correction of any of your personal information held by the Companies and to request not to use your personal data for direct marketing purpose as stated above. The aforesaid requests can be made in writing to: Data Protection Officer, Principal Trust Company (Asia) Limited, 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong. If you have any questions or wish to know more about our privacy policy, please send your enquiry to the above address or contact us at (852) 2827 1233.

個人資料收集說明書

閣下提供的資料及其他個人資料純屬自願性質。然而，如未能提供所需資料及其他個人資料，可能導致閣下的申請/指示不獲處理。閣下提供的資料及其他個人資料僅供信安信託(亞洲)有限公司(「受託人及管理人」)、美國信安保險有限公司(「保薦人」)及信安投資及退休金服務有限公司(「推銷商」)(統稱「公司」)及以下所指的相關人士/機構使用及處理。

向閣下所收集的資料及其他個人資料將會用作下列用途：(1) 處理閣下參與信安強積金計劃 800 系列(「該計劃」)的申請；(2) 處理及管理閣下於該計劃的供款及累算權益；(3) 執行閣下的指示或答覆閣下或閣下代表的查詢；(4) 直接促銷信安信託(亞洲)有限公司及其成員公司的強制性公積金(「強積金」)產品、退休計劃、強積金及退休計劃的相關服務及產品；(5) 提供強積金及退休計劃的相關服務；(6) 維持統計數據及用作產品及市場研究資料庫；(7) 遵守有關不論於香港特別行政區境內或境外的任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的任何適用的法律、規則、指引或指導，包括但不限於《外國帳戶稅務合規法案》及共同匯報標準；及 (8) 用作與任何上述有關的用途。

除上述以外，受託人及其成員公司可根據《稅務條例》(香港法例第 112 章)有關交換財務帳戶資料的法律條文，及作自動交換財務帳戶資料用途，把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局(「稅務局」)申報，從而把資料轉交到帳戶持有人的稅務管轄區的稅務當局。如情況有所改變，以致影響帳戶持有人的稅務居民身分，閣下會通知本公司，並會在情況發生改變後 30 日內，向本公司提交一份已適當更新的自我證明表格。

閣下可參閱稅務局網站 http://www.ird.gov.hk/chi/tax/dta_aeoi.htm 以了解香港實施自動交換財務帳戶資料的詳情。

只有在閣下的同意下，閣下的個人資料(姓名，聯絡資料和戶口記錄)或會用於直接促銷本公司及其成員公司的強制性公積金的產品，退休計劃，強積金相關的服務和產品。

閣下的個人資料可能轉移/披露予以下的人士(不論在香港特別行政區內外)作為上述所載的任何用途：(1) 在業務上向受託人提供行政、電訊、電腦、數據處理或儲存、市場推廣、專業或其他任何服務的代理、承包商、第三方服務供應商或本公司所屬公司集團旗下的任何公司；(2) 就受託人或其成員公司所屬法律及規則要求，或按監管機構、稅務或其他主管機構要求受託人或其成員公司需遵守的指引，受託人因而有責任要向其披露的任何人士；及 (3) 允許任何受託人的實際或建議承讓人或受託人所持客戶權益的分享者、再分享者、受讓人擁有有關客戶資料的權利。

根據個人資料(私隱)條例(香港法例第 486 章)，閣下有權要求查閱及更正該公司所持有閣下的個人資料及要求閣下的個人資料不被用作上述的直接促銷用途。上述要求可以書面形式通知保障資料主任，信安信託(亞洲)有限公司，香港九龍觀塘觀塘道 392 號創紀之城 6 期 30 樓，閣下如有任何疑問或欲進一步了解本公司的私隱政策，請致函到上述地址或致電 (852) 2827 1233 與本公司聯絡。

SECTION 6 – DECLARATION
第六部份 聲明

- I hereby acknowledged that I have read and fully understand the MPF Scheme Brochure and all relevant information in connection with this Application, and all the information and documents submitted with this Application are full, complete and true. 本人謹此確認本人閱畢並完全明白強積金計劃說明書和所有與此申請相關資料的內容，及連同此申請所提供之所有資料及文件均正確無誤並無缺漏。
- I accept the responsibility for the decisions I have made on this Application and acknowledge that the Company shall not be liable for any loss due to an inappropriate choice made by me. 本人承擔本人在此申請所作出之決定之責任，並承諾貴公司不用承擔因本人不恰當之選擇所導致之損失。
- I hereby agree to indemnify the Company against any action, proceeding, claim, loss, damage, cost or expense which may be brought against the Company or suffered or incurred by the Company arising either directly out of or in connection with the Company accepting facsimile instructions and acting thereon, whether or not the same are confirmed by me in writing, unless due to the wilful default or gross negligence of the Company. The Company has the right to determine which forms or other documents of instructions may or may not be accepted by facsimile. 本人同意並授權貴公司接受傳真指示及根據指示處理有關事宜。除因蓄意或大意疏忽，貴公司無須因此而直接或間接負上任何責任、賠償、損失或費用。貴公司有權決定接受何種傳真表格或指示。
- I agree the Company to record all or any of my telephone conversation(s) with the Company. 本人謹此同意貴公司可記錄本人與貴公司之間進行的所有或任何電話談話。
- I hereby acknowledge that I have read and fully understood the content of the Personal Information Collection Statement as attached hereto. 本人特此確認本人已閱畢並完全明白所附的個人資料收集說明書。
- I understand that the Company intends to use my personal data (name, contact details and account records) for direct marketing of mandatory provident fund (“MPF”) products, retirement schemes, MPF related services and products as stated in the Personal Information Collection Statement. I also understand that the Company cannot make such use of my personal data without my consent and will cease to use my personal data for direct marketing purpose upon my written or verbal request. I hereby express my consent to the use of my personal data (as provided/updated by me from time to time) by the Company (and their agents) for the aforesaid direct marketing purpose. I further understand that should I find such use of my personal data not acceptable, I should indicate my objection by ticking (“✓”) the box below. 本人明白貴公司擬使用本人的個人資料（姓名，聯絡資料及戶口記錄）以作出個人資料收集說明書內所述的直接促銷強制性公積金（「強積金」）產品、退休計劃、強積金相關服務及產品。本人亦明白貴公司在未得本人的同意之前不能如此使用本人的個人資料，貴公司倘接獲本人之書面或口頭要求，將停止使用本人的個人資料作直接促銷用途。本人現在明確表示同意貴公司（及其代理）使用本人的個人資料(由本人不時提供/更新)作上述直接促銷用途。本人進一步明白，如本人不接受本人的個人資料用作此用途，本人應在以下方格內加上（「✓」）號，以表示反對。
 I object to the proposed use of my personal data in direct marketing. 本人反對本人個人資料被使用於擬作出的直接促銷。
- I wish to establish a special voluntary contributions account in the Principal MPF Scheme Series 800 (the “Scheme”) so as to hold the accrued benefits in respect of my Special Voluntary Contributions. 本人現欲參與信安強積金計劃 800 系列（“本計劃”）內之特別自願性供款，以持有本人之特別自願性供款的累算權益。
- I understand that participation in the Scheme shall be governed by the trust deed of the Scheme as amended from time to time (the “Deed”) and this Application Form. 本人明白參與本計劃將受本計劃所不時修改的信託契據（“契據”）及計劃申請表格管限。
- I hereby covenant with the Trustee to comply with and be bound by the provisions of the Deed and this Application Form and all applicable laws and regulations. 本人現與受託人立約承諾遵從並受制於契據及本計劃申請表的條款及適用的法律和規例。
- Subject to the provisions of the Deed and this Application Form, I undertake and agree to hold the Trustee indemnified against any and all proceedings, costs, charges, liabilities and expenses occasioned by any and all actions, claims, demands or proceedings in connection with the Scheme either: 本人除受制於契據及本計劃申請表格的限制條款外，亦同意承擔補償受託人任何及所有由於以下原因而引致與集成信託計劃成員參與本計劃的訴訟、索償或要求所帶來的訴訟費、費用、收費、責任及花費：
 - arising out of my breach of the warranty referred to in paragraph 1; or 本人違反條款 1 所承諾的保證；或
 - as a result of my failure or omission to duly and punctually perform or observe any obligations pursuant to the Deed and this Application Form or otherwise so far as they relate to the Special Voluntary Contributions. 任何由於本人疏忽或遺忘依時履行或遵守契據及本計劃申請表格訂定的責任或其他與特別自願性供款相關的責任。
- I undertake and agree to pay all fees and expenses which are payable by it under the terms of the Deed and this Application Form. 本人同意承擔支付所有按契據及本計劃申請表格條款而需支付的費用及花費。
- This Application Form shall be governed by the laws of Hong Kong. 本計劃申請表格是受香港法律所管限。
- I acknowledge and agree that (1) the information contained in this form is collected and may be kept by Principal Trust Company (Asia) Limited for the purpose of automatic exchange of financial account information, and (2) such information and information regarding the account holder and any reportable account(s) may be reported by Principal Trust Company (Asia) Limited to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112). 本人知悉及同意，信安信託(亞洲)有限公司可根據《稅務條例》(第 112 章)有關交換財務帳戶資料的法律條文，(1) 收集本表格所載資料並可備存作自動交換財務帳戶資料用途及 (2) 把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。
- I certify that I am the account holder of all the account(s) to which this form relates. 本人證明，就與本表格所有相關的帳戶，本人是帳戶持有人。
- I undertake to advise Principal Trust Company (Asia) Limited any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide Principal Trust Company (Asia) Limited with a suitably updated self-certification form within 30 days of such change in circumstances. 本人承諾，如情況有所改變，以致影響本表格所述的個人的稅務居民身份，或引致本表格所載的資料不正確，本人會通知信安信託(亞洲)有限公司，並會在情況發生改變後 30 日內，向信安信託(亞洲)有限公司提交一份已適當更新的自我證明表格。
- I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete. 本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signed by the Scheme Participant
計劃參與者簽署

Signed this _____ day of _____, _____
簽署日期 day 日 month 月 year 年

(To be completed by MPF Intermediary 由強積金中介人填寫)
Signature of MPF Intermediary (Subsidiary Intermediary) _____ MPF Intermediaries Reg. No. _____
強積金中介人 (附屬中介人) 簽署 強積金中介人註冊號碼

Name in Print _____ Contact Tel. No. _____
簽署人姓名 聯絡電話

Name of Principal Intermediary _____ Agent / Consultant Code (if any) _____
主事中介人名稱 營業員 / 顧問編號(如有)

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E-Notification Service covers all notices and documents in electronic form issued by Principal Trust Company (Asia) Limited from time to time which include member benefit statement, notices and fund fact sheets. To register for this service, you must provide your email address. The E-Notification Service shall apply to all your MPF accounts under the MPF scheme you have given consent and will extend to the new MPF account(s) under the same scheme. After registration, we will send the E-Notification to your email address for retrieving the notices and documents on our website. The retention period of member benefit statements and fund fact sheets on the website is for a minimum of 24 months while the notices is for a minimum of 12 months after the issuance of the E-Notification. If we become aware that you could not receive the E-Notification, hard copies of the notices and documents will be sent to your latest correspondence address at no extra cost. Your E-Notification Service remains valid until it is cancelled by following reasons: (i) you cancel the E-Notification Service, (ii) all your MPF accounts have been terminated, (iii) the E-Notification cannot be successfully given to you and no valid email address can be updated from you within a period of 60 days, and (iv) we have been notified the death or mental incapacitation of you. You may revoke your consent to the subscription for E-Notification Service at any time by contacting Principal. If you revoke such consent, you will be unsubscribed from E-Notification Service automatically. A confirmation notice will be sent to you after completion. 電子通訊服務包括信安信託(亞洲)有限公司不時以電子形式發出之所有通告及文件，包括成員權益報表、強積金通告及基金便覽。如要登記這項服務，閣下必須提供您的電子郵箱。電子通訊服務適用於閣下已同意享用此服務的強積金計劃下之所有有效的強積金帳戶，並會延伸至同一計劃下的新強積金帳戶。登記完成後，本公司會發送「電郵提示通知」到閣下的電子郵箱，提醒閣下到本公司網站檢視通告及文件。在發出「電郵提示通知」後，成員權益報表及基金便覽在網站的保留期為至少 24 個月，而強積金通告的保留期則為至少 12 個月。如我們發現閣下未能收到「電郵提示通知」，我們將文件列印並郵寄到閣下最新的通訊地址，並且不會收取任何額外費用。基於以下原因，電子通訊服務會被取消：(i)閣下取消電子通訊服務、(ii)閣下的強積金戶口已被終止、(iii)未能成功發送「電郵提示通知」到閣下的電子郵箱，而閣下並未能於 60 天內向我們更新有效的電子郵箱，及(iv)我們收到閣下的身故/喪失精神行為能力通知。閣下可以隨時聯繫信安要求撤銷同意使用電子通訊服務。如果閣下同意撤銷使用該服務，閣下將自動取消訂閱電子通訊服務。完成更新後，我們將發出確認通知予閣下。

Important Notes 重要提示：

To comply with the Organization for Economic Cooperation and Development (“OECD”) Common Reporting Standard (“CRS”) set out in the Inland Revenue (Amendment) (No. 3) Ordinance 2016 and Inland Revenue (Amendment) (No.2) Ordinance 2019 which are effective starting 30 June 2016 and 1 March 2019 respectively, this certificate is to be used by account holder (Individual) to declare the jurisdiction of tax residence and provide the related information.

為配合在 2016 年 6 月 30 日刊憲並生效的《2016 年稅務(修訂)(第 3 號)條例》及在 2019 年 3 月 1 日刊憲並生效的《2019 年稅務(修訂)(第 2 號)條例》中的經濟合作與發展組織 (“OECD”) 共同匯報標準 (“CRS”) 的實施要求，本聲明適用於帳戶持有人(個人)申報其稅務居民身份及提供若干所需資料。

This is a self-certification form provided by an account holder to Principal Trust Company (Asia) Limited for the purpose of automatic exchange of financial account information. The data collected may be transmitted by Principal Trust Company (Asia) Limited to the Inland Revenue Department (“IRD”) for transfer to the tax authority of another jurisdiction. An account holder should report all changes in its tax residency status to Principal Trust Company (Asia) Limited.

這是由帳戶持有人向信安信託(亞洲)有限公司提供的自我證明表格，以作自動交換財務帳戶資料用途。信安信託(亞洲)有限公司可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。如帳戶持有人的稅務居民身份有所改變，應盡快將所有變更通知信安信託(亞洲)有限公司。

As a financial institution, Principal Trust Company (Asia) Limited is not allowed to give tax or legal advice. If you have any questions regarding your tax residency, please consult your tax adviser or visit the OECD and IRD’s Automatic Exchange of Financial Account Information (“AEOI”) website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/> and http://www.ird.gov.hk/eng/tax/dta_aeoi.htm respectively, or simply scan the QR code, for more CRS and related information.

作為財務機構，信安信託(亞洲)有限公司不獲允許提供稅務或法律意見。若您對您的稅務居民身份存有任何疑問，請詢問專業稅務顧問或瀏覽 OECD (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>) 及稅務局(http://www.ird.gov.hk/eng/tax/dta_aeoi.htm) 有關自動交換財務帳戶資料的網頁，或掃描此二維碼，以獲取更多共同匯報標準及相關資料。



(OECD 經濟合作與發展組織)



(IRD 稅務局)

All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the IRD.

除不適用或特別註明外，必須填寫這份表格所有部份。如這份表格上的空位不夠應用，可另紙填寫。在有星號 (*) 的項目為申報財務機構須向稅務局申報的資料。

Continued on next page (請轉到下頁)

1. “Account Holder” 「帳戶持有人」

The “Account Holder” is the person listed or identified as the holder of a financial account by the financial institution that maintains the account. This is regardless of whether such person is a flow-through entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a financial account, the trust or estate is the Account Holder, rather than the trustee or the trust’s owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a financial account, the partnership is the Account Holder, rather than the partners in the partnership.

「帳戶持有人」指被維持該財務帳戶的財務機構列明為或識辨為帳戶的持有人的人士，不論該人士是否為過渡實體。所以，如果一個信託或遺產被列明為某財務帳戶的持有人或擁有人，則帳戶持有人是該信託或遺產，而非受託人、信託的擁有人或受益人。同樣地，如果一個合夥被列明為某財務帳戶的持有人或擁有人，則帳戶持有人是該合夥，而非合夥的合夥人。

A person, other than a financial institution, holding a financial account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, intermediary, or legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder.

除財務機構外，若有關人士以代理人、託管人、代名人、簽署人、投資顧問、中介人或合法監護人身份代其他人士持有財務帳戶，他不會被視為帳戶持有人。在這種情況下，帳戶持有人應為該其他人士。以一個家長與子女開立的帳戶為例，如帳戶以家長為子女的合法監護人名義開立，子女會被視為帳戶持有人。

With respect to a jointly held account, each joint holder is treated as an Account Holder.

聯名帳戶內的每個持有人都被視為帳戶持有人。

2. “Financial Institution” 「財務機構」

The term “Financial Institution” means a “Custodial Institution”, a “Depository Institution”, an “Investment Entity”, or a “Specified Insurance Company”.

「財務機構」一詞指「託管機構」、「存款機構」、「投資實體」或「指明保險公司」。

3. “Resident for tax purposes” 「稅務居民」

In general, whether or not an individual is a tax resident of a jurisdiction is determined by having regard to the person’s physical presence or stay in a place (e.g. whether over 183 days within a tax year). For additional information on tax residence, please talk to your tax adviser or refer to the OECD Automatic Exchange Portal at the following link: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>

一般而言，要斷定某人是否屬一個稅務管轄區的稅務居民，會根據該人身處之地或逗留於該地的時間(例如一個課稅年度超過 183 天)。有關稅務居民身份的更多資訊，請聯絡閣下的稅務顧問或瀏覽經濟合作與發展組織的自動交換資料網站：<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>

4. “TIN” 「稅務編號」

The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD Automatic Exchange Portal at the following link: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>

「稅務編號」一詞指納稅人的識辨編號或具有等同功能的識辨編號(如無納稅人的識辨編號)。稅務編號是稅務管轄區向個人或實體分配獨有的字母與數字組合，用於識別個人或實體的身份，以便實施該稅務管轄區的稅務法律。有關可接受的稅務編號的更多詳細資訊刊載於經濟合作與發展組織的自動交換資料網站：<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include –

某些稅務管轄區不發出稅務編號。但是，這些稅務管轄區通常使用具有等同識辨功能的其他完整號碼(「具有等同功能的識辨號碼」)。此類號碼的例子包括：

- (a) (for individuals) a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.
就個人而言，社會安全號碼/保險號碼、公民/個人身份/服務代碼/號碼，以及居民登記號碼。
- (b) (for Entities) a business/company registration code/number
就實體而言，商業/公司登記代碼/號碼。

Continued on next page (請轉移到下頁)

This page contains the Default Investment Strategy (DIS) Disclosure and Business Rules which forms part of the form. Member should read the contents in detail before completing the form.

DIS Disclosure and Business Rules (Effective Date is 1 April 2017)

Default Option

- (1) Effective from 1 April 2017, the default investment arrangement of the scheme is DIS.
- (2) Members are allowed to choose separate fund choices on mandatory or voluntary contribution. For unspecified part, the future contributions and accrued benefits transferred from another MPF scheme will be set to DIS.

Valid Investment Option

- (1) Fund choice allocation must be an integer.
- (2) For new enrolment case, if the total fund choice allocation is less than or exceeded 100%, or the percentage has been amended but without member's signature, future contributions and accrued benefits transferred from another MPF scheme will be set to DIS.
- (3) For fund switching case, the percentage amount for switching should be an integer percentage and the switch-in total must be 100%. If the total fund choice allocation is less than or exceeded 100%, switching percentage not an integer or the percentage has been amended but without member's signature, the existing investment choice for future contributions and accrued benefits transferred from another MPF scheme and/or fund allocation of the accrued benefits (if any) will remain unchanged.
- (4) For switching out of partial accrued benefits to other constituent fund(s), the fund allocation for the rest of the accrued benefits in any or all of a member's sub-account(s) for which no specified instruction has been given will remain unchanged until the member submits another valid fund switching request.

DIS Features

- (1) Members are free to choose to invest in the DIS. Also, members can select a combination of DIS and other constituent funds including Principal Core Accumulation Fund ("CAF") and Principal Age 65 Plus Fund ("A65F").
- (2) The automatic de-risking feature will only apply to members who have selected or defaulted to DIS. This feature does not apply if member has chosen the CAF and A65F as a standalone constituent fund choice.
- (3) The date of annual de-risking will be on member's birthday. In case the member's birthday is not a business day, the annual de-risking day will be the following business day. For member's birthday on 29 February, the de-risking will be on 1 March if there is no 29 February for the year.
- (4) For member who has selected DIS and with incomplete date of birth record:
 - If only the year and month of birth is available, the annual de-risking will use the last calendar day of the birth month or the following business day if such day is not a business day.
 - If only the year of birth is available, the annual de-risking will use the last calendar day of the year or the following business day if such day is not a business day.
 - If the date of birth is unknown, member's accrued benefits will be fully invested in A65F without de-risking.
- (5) For change of date of birth or submission of date of birth after member enrolment, an ad hoc de-risking on the DIS may be processed within 10 business days after we have updated the member's record.

Discontinue of De-risking

- (1) The de-risking mechanism for future contributions and accrued benefits transferred from another MPF scheme under DIS will be discontinued if member has provided a valid investment instruction on future investment choice to us which does not involve any DIS selection.
- (2) The de-risking mechanism on the accrued benefits under DIS will be discontinued if member has provided a valid fund switching instruction to us to switch out all the existing fund balance under DIS.
- (3) For a deceased member, de-risking will be ceased once we have received proof of the death of the member. If de-risking has already been taken place between the death of the member and the time at which we received the satisfactory proof of such death, such de-risking will not be undone, although no further de-risking will take place in respect of the deceased member.

Multiple Transactions

- (1) If we have received another specified instruction, including but not limited to subscription (e.g. contribution or fund transfer-in), redemption (e.g. fund transfer-out or withdrawals) or switching instructions, the de-risking will be automatically processed on the member's birthday while the other instruction(s) will be processed within the service turnaround time.
- (2) When one or more of the specified instructions, including but not limited to subscription, redemption or switching instructions, are being processed on the annual de-risking date for a relevant member, while the annual de-risking will only take place after completion of these instructions, the annual de-risking will be completed on the original de-risking date.
- (3) In order to ensure that the switching instruction can be processed on or prior to the de-risking date, members should refer to the cut-off time and the required time to complete (after the date of receipt of completed instruction) as set out in the "Trustee Service Comparative Platform" in the MPFA's website before submitting a valid switching instruction or change of investment mandate instruction. Any valid switching instruction or change of investment mandate instruction received by the Trustee before the annual de-risking but not meeting the required timeframe may only be completed after the annual de-risking.

Transfer or Withdrawal of Accrued Benefits

- (1) For transfer of accrued benefits from one account to another account within the same scheme, for example, to transfer the account balance from a terminated member account to a personal account under the same scheme, it will be by way of unit transfer. Regarding future contributions and accrued benefits transferred from another MPF scheme, unless the member has provided investment instruction under the new personal account, the investment mandate will be set to DIS.
- (2) For any specified instructions involving unit redemption, including but not limited to consolidation of personal accounts among different schemes, partial or full withdrawal of accrued benefits, transfer out of accrued benefits due to member termination or under employee choice arrangement, long service payment / severance payment offset and employer switching plans, all or part of the member accrued benefits including DIS will be withdrawn. The de-risking mechanism on the remaining DIS accrued benefits will be continued until the DIS account balance is zero.
- (3) When an account is terminated and all the accrued benefit has been withdrawn or transferred out to another scheme, the subsequent contribution will be withdrawn to the member or transferred out to another scheme from the terminated account without allocating to any of the investment fund.

Contact Details

- (1) For details of the default fund arrangement, de-risking mechanism and de-risking table, please contact our customer service hotline at 2827 1233 or visit our website at www.principal.com.hk.

Continued on next page (請轉移到下頁)

本頁所載預設投資策略披露及商業守則為本表格之一部份。成員在填寫此表格前必須細閱其內容。

預設投資策略披露及商業守則 (生效日期為1/4/2017)

預設選擇

- (1) 由2017年4月1日生效日期起，預設投資策略將會成為本計劃的預設投資安排。
- (2) 成員可就強制性或自願性供款選擇不同基金。若沒有指示，未來供款和轉移自另一項強積金計劃的累算權益將設定為預設投資策略。

有效投資選擇

- (1) 基金選擇分配必須為整數。
- (2) 就新登記成員而言，若基金選擇分配之總和少於或多於百分之一百，又或是分配率修改而沒有簽署作實，未來供款和轉移自另一項強積金計劃的累算權益將設定為預設投資策略。
- (3) 就基金轉換而言，轉換指示的百分比必須為整數百分比，及轉入總和必須是100%。若基金選擇分配之總和少於或多於100%，轉換率非整數或轉換率經修改而沒有簽署作實，現有未來供款和轉移自另一項強積金計劃的累算權益之投資分配及/或累算權益基金分配(如有)將繼續不變。
- (4) 就把部分累算權益轉出至其它成分基金的情況，成員的任何或所有分帳戶內沒有給予明確指示的餘下累算權益，其基金分配將保持不變，直至該成員遞交另一有效之基金轉換申請。

預設投資策略特色

- (1) 成員可自由選擇按照預設投資策略下投資。同時，成員亦可混合選擇預設投資策略及其他成分基金，包括信安核心累積基金及信安65歲後基金。
- (2) 自動降低投資風險之特性只適用於成員因已選擇或基於預設投資安排於預設投資策略中。此特性並不適用於成員選擇信安核心累積基金及信安65歲後基金為獨立之成分基金。
- (3) 週年降低投資風險之日期定於成員之生日。倘若成員之生日並非交易日，則會改為下一個交易日。假使成員生日是2月29日而該年沒有2月29日，則降低投資風險會在3月1日進行。
- (4) 就選擇預設投資策略而沒有完整出生日期之成員而言：
 - 若只能提供出生年份及月份，週年降低投資風險將會在出生月份之最後一個曆日進行，若該天不是交易日，則會延至下一個交易日。
 - 若只能提供出生年份，週年降低投資風險將會在每年之最後一個曆日進行，若該天不是交易日，則會延至下一個交易日。
 - 若未能提供出生日期，成員之累算權益將全部投資於信安65歲後基金，亦不會進行降低投資風險。
- (5) 已登記之成員之後更改出生日期或遞交出生日期，本公司會在更新成員紀錄後之十個工作天內就預設投資策略進行一次降低投資風險。

終止降低投資風險

- (1) 若成員就未來新供款之投資選擇向本公司提交有效之投資指示而該指示不涉及任何預設投資策略，則未來供款和轉移自另一項強積金計劃的累算權益之投資選擇在預設投資策略下之降低投資風險機制會終止。
- (2) 若成員向本公司提交有效之基金轉換指示以轉出在預設投資策略下所有現有基金結餘，則累算權益在預設投資策略下之降低投資風險機制會終止。
- (3) 對於已故成員，一旦本公司收到成員之身故證明，降低風險機制便會停止。倘若自成員身故之日至本公司收到令其信納的該等身故證明期間，降低風險經已發生，則該等降低風險將不會被撤銷，但是，將不會發生與已故成員有關的任何進一步之降低風險。

多項交易

- (1) 若本公司收到另一指示，包括但不限於認購（例如供款或基金轉入）、贖回（例如基金轉出或提取權益）或轉換基金指示，降低投資風險將自動在成員生日當天進行，而上述之指示則會在服務運轉時間內處理。
- (2) 若於週年降低投資風險日需要為有關成員處理一個或更多特別指示，包括但不限於購入、贖回或轉換基金指示，每年降低風險的安排只會在此等指示辦妥後進行，則每年降低風險的安排將會於原來的降低風險日期辦妥。
- (3) 為確保轉換指示或更改投資授權指示能於降低風險之日或之前辦理，成員在提交有效指示前，應參考強積金管理局網站中「受託人服務比較平台」載列之截止時間及所需時間完成（收妥指示日期後起計）。受託人在每年降低風險之日之前收到但不滿足所需時間規定之任何有效的轉換指示或更改投資授權指示，僅可於進行每年降低風險之後方可辦妥。

轉移或提取累算權益

- (1) 就同一計劃下由一個帳戶轉移累算權益至另一個帳戶，例如，轉移一個離職成員帳戶內之累算權益至同一計劃下之個人帳戶，將會用基金單位轉移方式處理。有關未來供款和轉移自另一項強積金計劃的累算權益之投資分配，除非成員在新個人帳戶提交投資指示，否則投資分配將設定為預設投資策略。
- (2) 就任何特定指示涉及基金單位贖回、不同計劃下之個人帳戶整合、累算權益之部份或全數提取，因成員離職或僱員在自選安排下而轉移累算權益、長期服務金/遣散費抵銷及僱主轉換計劃，全部或部份之成員累算權益(包括預設投資策略)將被提取。在預設投資策略下之餘下累算權益仍會有降低風險機制，直至預設投資策略下之帳戶結存為零。
- (3) 當帳戶已結束及所有累算權益已被提取或轉移至另一計劃，其後再收到之供款將會從已結束之帳戶內提取給成員或轉移至另一計劃而不會作出任何投資。

聯絡詳情

- (1) 有關預設基金安排、降低投資風險機制及降低投資風險列表之詳情可向客戶服務部熱線 2827 1233或登入本公司網址www.principal.com.hk查詢。