

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

CCB Principal Dual Income Bond Fund*

*(*The name of the fund is not indicative of the fund’s performance and return)*

Suspension in Subscription

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., as the manager of the CCB Principal Dual Income Bond Fund (the “**Fund**”), have issued an announcement dated 18 October 2022 regarding “Announcement on Suspension and Resumption of Large Subscription, Switching-in and Periodic Subscription Business” (the “**Subscription Suspension Announcement**”).

In order to protect the interests of Unitholders and to ensure the smooth operation of the Fund, we will suspend accepting large subscription, switching-in and periodic subscription application(s) in Class H Units of the Fund with effect from 18 October 2022, resuming on 20 October 2022 (including 20 October 2022) (the “**Suspension Period**”). During the Suspension Period, the aggregate subscription and periodic subscription amount in the Fund by each fund account on each day shall not exceed RMB10 million (but may reach RMB10 million). Otherwise, we will have the right to confirm the failure of the relevant subscription application(s).

Please see the attached Subscription Suspension Announcement for further details.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Subscription Suspension Announcement belong to Class A Units and Class C Units of

the Fund, which shall be for reference only. The performance of Class A Units and Class C Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units and Class C Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

Existing holdings of Class H Unitholders of the Fund will not be affected in any way. Right of redemption of Class H Units of the Fund remain unchanged. Investors should note that switching into Class H Units of the Fund from another fund managed by the Manager is currently not available for Class H Unitholders.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/[^]).

[^]*This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

18 October 2022

CCB Principal Dual Income Bond Fund

Announcement on Suspension and Resumption of Large Subscription, Switching-in and Periodic Subscription Business

Date of announcement: 18 October 2022

1. Basic Information of Announcement

Name of the Fund	CCB Principal Dual Income Bond Fund	
Alias of the Fund	CCB Principal Dual Income Bond	
Fund Main Code	530017	
Fund Manager	CCB Principal Asset Management Co., Ltd.	
Legal basis of Announcement	“Fund Contract of CCB Principal Dual Income Bond Fund”, “Prospectus of CCB Principal Dual Income Bond Fund” and relevant laws and regulations	
Starting Date of, Amount of and reason for suspension of relevant services	Starting date of suspension of large subscription	18 October 2022
	Starting date of suspension of switching-in	18 October 2022
	Starting date of suspension of periodic fixed subscription	18 October 2022
	Amount limit (currency: Renminbi Yuan) of subscription	10,000,000.00
	Amount limit (currency: Renminbi Yuan) of switching-in	10,000,000.00
	Amount limit (currency: Renminbi Yuan) of periodic fixed subscription	10,000,000.00
	Reason for suspension of large subscription, switching-in and periodic fixed subscription	To protect the interests of Unitholders of the Fund and to ensure the smooth operation of

	services	the Fund	
Alias of the Sub-fund	CCB Principal Dual Income Bond A	CCB Principal Dual Income Bond C	CCB Principal Dual Income Bond H
Transaction code of the Sub-fund	530017	531017	960029
Does the Sub-fund suspend for large subscription, switching-in and periodic fixed subscription	Yes	Yes	Yes
Amount limit (currency: Renminbi Yuan) of subscription in the Sub-fund	10,000,000.00	10,000,000.00	10,000,000.00
Amount limit (currency: Renminbi Yuan) of switching into the Sub-fund	10,000,000.00	10,000,000.00	10,000,000.00
Amount limit (currency: Renminbi Yuan) of periodic fixed subscription in the Sub-fund	10,000,000.00	10,000,000.00	10,000,000.00

2. Other matters required to be disclosed

- (1) During the period of suspension of large subscription, switching-in and periodic fixed subscription services of CCB Principal Dual Income Bond Fund (hereinafter referred to as the “Fund”, transaction code of Class A units: 530017; transaction code of Class C units: 531017; transaction code of Class H units: 960029), all other services will operate as usual except large subscription, switching-in and periodic fixed subscription services. Switching service is currently not available for Class H Unitholders, therefore only the large subscription and periodic fixed subscription services are suspended, all the other services will operate as usual except the subscription and periodic fixed subscription services.
- (2) During the suspension period of large subscription and periodic subscription services, the aggregate subscription and periodic subscription amount in the Fund by each fund account on each day shall not exceed RMB10 million (but may reach RMB10 million). Where the aggregate subscription and periodic subscription amount in the Fund by each fund account on each day exceeds RMB10 million (with such RMB10 million excluded), the Company will have the right to confirm the failure of the relevant business.

- (3) Large subscription, switching-in and periodic fixed subscription services for the Fund will be resumed on 20 October 2022 (including 20 October 2022), no separate announcement will be made.
- (4) If investors have any queries, please dial the toll-free customer service hotline of the Fund Manager: 400-81-95533, or visit our website www.ccbfund.cn (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) for enquiries.

Risk warning:

The Company undertakes to manage and apply Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Past performance of the Fund is not indicative of its future performance. Investors are advised to be aware of investment risks and to invest rationally.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

18 October 2022