

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Funds (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Funds nor does it guarantee the commercial merits of the Funds or their performance. It does not mean that the Funds are suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

CCB Principal Asset Management Co., Ltd. (the “Manager”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.

**CCB Principal Dual Income Bond Fund* and CCB
Principal Selected Growth Mixed Asset Fund***

*(*The name of the fund is not indicative of the fund’s performance and return)*

**Announcement on the Renewal of the Suitability Risk Levels Assessment
Results of Publicly Offered Fund Products of CCB Principal Asset
Management**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., as the manager of the CCB Principal Dual Income Bond Fund and the CCB Principal Selected Growth Mixed Asset Fund (the “Funds”), have issued an announcement dated 15 February 2023 regarding the renewal of the suitability risk levels assessment results of publicly offered fund products (the “Announcement”). Please see the attached Announcement (translated version) for your reference. Please note that except for the Funds, other funds mentioned in the Announcement are not authorized by the SFC for offering to the public in Hong Kong.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/^).

^This website has not been reviewed by the SFC.

CCB Principal Asset Management Co., Ltd.

15 February 2023

Announcement on the Renewal of the Suitability Risk Levels Assessment Results of Publicly Offered Fund Products of CCB Principal Asset Management

Pursuant to the requirements under the *Measures for the Suitability Management of Securities and Futures Investors* (《證券期貨投資者適當性管理辦法》) and the *Implementation Guidelines for the Suitability Management of Investors in Securities Trading Institutions (for Trial Implementation)* (《基金募集機構投資者適當性管理實施指引(試行)》) and other relevant laws and regulations, in order to further improve the service standards in respect of investor suitability, CCB Principal Asset Management Co., Ltd. (the “Company”), with effect from 7 July 2022, makes reference to the *Suitability Risk Assessment System of Publicly Offered Fund Products of China Galaxy Securities Fund Research Centre* (《中國銀河證券基金研究中心公募基金產品適當性風險評價體系》) issued by China Galaxy Securities Fund Research Centre (中國銀河證券基金研究中心) (“Galaxy Securities”), an independent third party rating agency and its related contents when assessing the risk levels of the publicly offered fund products managed by the Company. After 7 July 2022, if there are any changes in the risk levels, the Company would disclose information to investors by way of announcements or appropriate means. This announcement is made in order for investors to fully understand the latest risk levels assessment results of the Company's publicly offered fund products.

***Please note, except for Class H units of CCB Principal Selected Growth Mixed Asset Fund and Class H units of CCB Principal Dual Income Bond Fund, all other funds have not been authorized by the SFC and therefore are not available for sale to the public of Hong Kong. The English names and other information of these funds are not included in the English version of this notice.**

Fund Code	Fund Name (Abbreviation)	Risk level Code	Risk Level Name
016715	建信渤泰A	R2	中低风险
016716	建信渤泰C	R2	中低风险
017789	建信睿享纯债C	R2	中低风险
016799	建信鑫和30天持有A	R2	中低风险
016800	建信鑫和30天持有C	R2	中低风险
016268	建信中证500指数量化增强C	R3	中风险
016267	建信中证500指数量化增强A	R3	中风险
016849	建信优享进取养老目标五年持有	R3	中风险
017194	建信弘利C	R3	中风险
007830	建信荣瑞一年定开	R2	中低风险
017257	建信优享稳健养老一年Y	R3	中风险
017258	建信普泽养老目标日期2040三年持有Y	R3	中风险

016065	建信智远先锋C	R3	中风险
016064	建信智远先锋A	R3	中风险
015522	建信兴晟优选一年持有C	R3	中风险
015521	建信兴晟优选一年持有A	R3	中风险
012571	建信恒生科技指数C	R4	中高风险
012570	建信恒生科技指数A	R4	中高风险
016352	建信高端医疗C	R4	中高风险
016362	建信同业存单指数7天持有	R1	低风险
016497	建信鑫享短债D	R2	中低风险
016282	建信内生动力C	R3	中风险
016034	建信鑫福60天持有中短债A	R2	中低风险
016035	建信鑫福60天持有中短债C	R2	中低风险
016269	建信改革红利C	R3	中风险
159616	建信中证农牧主题ETF	R4	中高风险
014782	建信兴衡优选一年持有C	R3	中风险
014781	建信兴衡优选一年持有A	R3	中风险
014366	建信普泽养老目标日期2050五年持有	R3	中风险
015812	建信鑫荣回报C	R3	中风险
014857	建信鑫享短债C	R2	中低风险
014858	建信鑫享短债F	R2	中低风险
014856	建信鑫享短债A	R2	中低风险
015517	建信鑫恒120天滚动中短债C	R2	中低风险
015516	建信鑫恒120天滚动中短债A	R2	中低风险
003400	建信恒瑞	R2	中低风险
014365	建信优享平衡养老三年	R3	中风险
015442	建信福泽安泰C	R3	中风险
015436	建信优化配置C	R3	中风险
014654	建信卓越成长一年持有C	R3	中风险
014653	建信卓越成长一年持有A	R3	中风险
014863	建信信息产业C	R3	中风险
014864	建信食品饮料行业C	R4	中高风险
015048	建信新能源C	R4	中高风险
014967	建信潜力新蓝筹C	R3	中风险
014251	建信鑫怡90天滚动中短债C	R2	中低风险
014250	建信鑫怡90天滚动中短债A	R2	中低风险
014200	建信沃信一年持有C	R3	中风险
014199	建信沃信一年持有A	R3	中风险
014849	建信健康民生C	R3	中风险
159775	建信国证新能源车电池ETF	R4	中高风险
008923	建信医疗健康行业A	R4	中高风险
008924	建信医疗健康行业C	R4	中高风险
508099	建信中关村产业园REIT	R4	中高风险
012657	建信龙祥稳进6个月持有C	R3	中风险
012656	建信龙祥稳进6个月持有A	R3	中风险
014380	建信中国制造2025C	R3	中风险
159789	建信中证饮料主题ETF	R4	中高风险
013170	建信彭博政策性银行债C	R2	中低风险

013169	建信彭博政策性银行债A	R2	中低风险
012485	建信汇益一年持有A	R3	中风险
012486	建信汇益一年持有C	R3	中风险
013919	建信中小盘C	R3	中风险
011970	建信港股通精选C	R4	中高风险
011969	建信港股通精选A	R4	中高风险
539001	建信纳斯达克100人民币A	R4	中高风险
012753	建信纳斯达克100美元现汇C	R4	中高风险
012752	建信纳斯达克100人民币C	R4	中高风险
012751	建信纳斯达克100美元现汇A	R4	中高风险
011793	建信智能汽车	R4	中高风险
012645	建信中证全指证券公司ETF联接A	R4	中高风险
012646	建信中证全指证券公司ETF联接C	R4	中高风险
012713	建信沪深300红利ETF联接C	R4	中高风险
012712	建信沪深300红利ETF联接A	R4	中高风险
013443	建信创业板ETF联接E	R4	中高风险
013444	建信上证50ETF联接E	R3	中风险
013442	建信中证1000指数增强E	R3	中风险
013021	建信兴润一年持有	R3	中风险
159763	建信中证新材料主题ETF	R4	中高风险
013076	建信鑫悦90天滚动持有中短债C	R2	中低风险
011790	建信创新驱动	R3	中风险
013075	建信鑫悦90天滚动持有中短债A	R2	中低风险
159710	建信中证智能电动汽车ETF	R4	中高风险
012283	建信普泽养老目标日期2040三年持有A	R3	中风险
011947	建信裕丰利率债三个月定开债C	R2	中低风险
011946	建信裕丰利率债三个月定开债A	R2	中低风险
011942	建信泓利一年持有	R2	中低风险
159891	建信中证全指医疗保健设备与服务ETF	R4	中高风险
012413	建信睿怡纯债C	R2	中低风险
011222	建信现金添益C	R1	低风险
011507	建信高端装备C	R3	中风险
011506	建信高端装备A	R3	中风险
011503	建信智能生活	R3	中风险
159835	建信中证创新药ETF	R4	中高风险
011169	建信臻选	R3	中风险
011189	建信智汇优选一年持有	R3	中风险
530014	建信利率债	R2	中低风险
165312	建信央视财经50	R4	中高风险
531030	建信周盈安心理财B	R2	中低风险
530030	建信周盈安心理财A	R2	中低风险
010768	建信利率债策略纯债C	R2	中低风险
010767	建信利率债策略纯债A	R2	中低风险
010727	建信现金增利货币B	R1	低风险
008828	建信易盛郑商所能源化工期货ETF联接C	R4	中高风险
008827	建信易盛郑商所能源化工期货ETF联接A	R4	中高风险
009554	建信中债1-3年农发债A	R2	中低风险

009555	建信中债1-3年农发债C	R2	中低风险
009476	建信食品饮料行业A	R4	中高风险
009033	建信上海金ETF联接A	R4	中高风险
009034	建信上海金ETF联接C	R4	中高风险
518860	建信上海金ETF	R4	中高风险
515560	建信中证全指证券公司ETF	R4	中高风险
009147	建信新能源A	R4	中高风险
009528	建信中债湖北省地方债	R2	中低风险
165310	建信沪深300增强A	R3	中风险
009208	建信沪深300增强C	R3	中风险
530029	建信荣元一年定开	R2	中低风险
501098	建信科技创新3年封闭运作	R3	中风险
008962	建信科技创新A	R3	中风险
008963	建信科技创新C	R3	中风险
008177	建信高股息主题	R3	中风险
008022	建信短债F	R2	中低风险
530028	建信短债C	R2	中低风险
531028	建信短债A	R2	中低风险
539003	建信富时100指数人民币A	R4	中高风险
008707	建信富时100指数美元现汇A	R4	中高风险
008708	建信富时100指数美元现汇C	R4	中高风险
008706	建信富时100指数人民币C	R4	中高风险
008064	建信睿信三个月定开债	R2	中低风险
008344	建信睿阳一年定开	R2	中低风险
007699	建信荣禧一年定开债	R2	中低风险
159981	建信易盛郑商所能源化工期货ETF	R4	中高风险
007806	建信MSCI中国A股指数增强A	R3	中风险
007807	建信MSCI中国A股指数增强C	R3	中风险
000270	建信灵活配置	R3	中风险
007671	建信中证红利潜力指数A	R4	中高风险
007672	建信中证红利潜力指数C	R4	中高风险
512530	建信沪深300红利ETF	R4	中高风险
005926	建信福泽裕泰C	R3	中风险
005925	建信福泽裕泰A	R3	中风险
007095	建信中债3-5年国开行C	R2	中低风险
007094	建信中债3-5年国开行A	R2	中低风险
006791	建信睿兴纯债	R2	中低风险
006500	建信润利增强A	R2	中低风险
006501	建信润利增强C	R2	中低风险
007027	建信中债1-3年国开行C	R2	中低风险
007026	建信中债1-3年国开行A	R2	中低风险
006989	建信中短债纯债A	R2	中低风险
006990	建信中短债纯债C	R2	中低风险
006581	建信优享稳健养老一年A	R3	中风险
006166	建信中证1000指数增强C	R3	中风险
006165	建信中证1000指数增强A	R3	中风险
005881	建信上证50ETF联接C	R3	中风险

005880	建信上证50ETF联接A	R3	中风险
002377	建信睿怡纯债A	R2	中低风险
006363	建信深证基本面60ETF联接C	R3	中风险
002585	建信兴利	R3	中风险
005874	建信创业板ETF联接C	R4	中高风险
005873	建信创业板ETF联接A	R4	中高风险
005829	建信MSCI中国A股ETF联接A	R3	中风险
005830	建信MSCI中国A股ETF联接C	R3	中风险
002573	建信汇利	R3	中风险
512180	建信MSCI中国A股国际通ETF	R3	中风险
005596	建信战略精选A	R3	中风险
005597	建信战略精选C	R3	中风险
005633	建信中证500指数增强C	R3	中风险
005455	建信睿丰纯债定开	R2	中低风险
165317	建信丰裕多策略(LOF)	R3	中风险
002378	建信弘利A	R3	中风险
159956	建信创业板ETF	R4	中高风险
005375	建信睿和纯债定开	R2	中低风险
005259	建信龙头企业	R3	中风险
002281	建信裕利	R3	中风险
510800	建信上证50ETF	R3	中风险
004617	建信鑫稳回报A	R3	中风险
004618	建信鑫稳回报C	R3	中风险
001858	建信鑫利	R3	中风险
005217	建信福泽安泰A	R3	中风险
004730	建信量化事件驱动	R3	中风险
004683	建信高端医疗A	R4	中高风险
004413	建信民丰回报	R3	中风险
001825	建信中国制造2025A	R3	中风险
003831	建信鑫瑞回报	R3	中风险
003583	建信稳定鑫利A	R2	中低风险
003584	建信稳定鑫利C	R2	中低风险
001498	建信鑫荣回报A	R3	中风险
003590	建信睿富纯债	R2	中低风险
003681	建信睿享纯债A	R2	中低风险
003391	建信天添益A	R1	低风险
003392	建信天添益B	R1	低风险
003393	建信天添益C	R1	低风险
003185	建信货币B	R1	低风险
003022	建信现金添益A	R1	低风险
511660	建信现金添益H	R1	低风险
002952	建信多因子量化	R3	中风险
003164	建信现金添利B	R1	低风险
002758	建信现金增利货币A	R1	低风险
960029	CCB Principal Dual Income Bond Fund Class H* (*The name of the fund is not indicative of the fund's performance and return)	R2	Medium low risk level

960028	CCB Principal Selected Growth Mixed Asset Fund Class H* (*The name of the fund is not indicative of the fund's performance and return)	R3	Medium risk level
002753	建信嘉薪宝B	R1	低风险
001781	建信现代服务业	R3	中风险
001397	建信精工制造指数增强	R4	中高风险
001473	建信大安全	R3	中风险
001396	建信互联网+产业升级	R3	中风险
001276	建信新经济	R3	中风险
001304	建信鑫安回报	R3	中风险
001166	建信环保产业	R4	中高风险
001070	建信信息产业A	R3	中风险
000994	建信睿盈A	R3	中风险
000995	建信睿盈C	R3	中风险
000876	建信稳定得利C	R2	中低风险
000875	建信稳定得利A	R2	中低风险
531008	建信稳定增利A	R2	中低风险
000693	建信现金添利A	R1	低风险
000756	建信潜力新蓝筹A	R3	中风险
531017	建信双息红利C	R2	中低风险
000729	建信中小盘A	R3	中风险
000686	建信嘉薪宝A	R1	低风险
165311	建信信用增强A	R2	中低风险
165314	建信信用增强C	R2	中低风险
000592	建信改革红利A	R3	中风险
000547	建信健康民生A	R3	中风险
000478	建信中证500指数增强A	R3	中风险
530012	建信积极配置	R3	中风险
000346	建信安心回报6个月A	R2	中低风险
000347	建信安心回报6个月C	R2	中低风险
000308	建信创新中国	R3	中风险
000207	建信双债增强A	R2	中低风险
000208	建信双债增强C	R2	中低风险
000056	建信消费升级	R3	中风险
000106	建信安心回报C	R2	中低风险
000105	建信安心回报A	R2	中低风险
165313	建信优势动力	R3	中风险
530021	建信纯债A	R2	中低风险
531021	建信纯债C	R2	中低风险
530019	建信社会责任	R3	中风险
531020	建信转债增强C	R3	中风险
530020	建信转债增强A	R3	中风险
530018	建信深证100指数增强	R3	中风险
530017	建信双息红利A	R2	中低风险
530016	建信恒稳价值	R3	中风险
530015	建信深证基本面60ETF联接A	R3	中风险
159916	建信深证基本面60ETF	R3	中风险
539002	建信新兴市场优选	R4	中高风险

530011	建信内生动力A	R3	中风险
530010	建信上证社会责任ETF联接	R4	中高风险
510090	建信上证社会责任ETF	R4	中高风险
165309	建信沪深300	R3	中风险
530009	建信收益增强A	R2	中低风险
531009	建信收益增强C	R2	中低风险
530006	建信核心精选	R3	中风险
530008	建信稳定增利C	R2	中低风险
530005	建信优化配置A	R3	中风险
530003	建信优选成长A	R3	中风险
530002	建信货币A	R1	低风险
530001	建信恒久价值	R3	中风险

Risk warning:

1、Sales agencies (including direct sales agencies and distributors of the Fund Manager) assess the risks of a fund in accordance with the laws and regulations in relation to investor suitability. Different sales agencies may adopt different assessment methods. Therefore, the risk level assessment results of a fund may differ between different sales agencies.

2、The risk level of a fund product purchased by an investor may be adjusted due to market or operational situations after purchase, and may exceed the investor's own risk tolerance, resulting in adverse consequences and losses. Investors are advised to pay attention to the change of products' risk levels in a timely manner and make careful decisions so as to ensure that investment decisions are made after thorough consideration of their own risk tolerance.

3、The Company undertakes to manage and apply Fund assets with honesty, prudence and diligence. However, it does not guarantee any profits or minimum return of the Fund. Before making an investment, investors are advised to read the fund contract, prospectus, product key facts statement and other legal documents of each fund carefully, understand the risk and return profile of the funds to be invested and choose the suitable fund products based on their own risk tolerance. Investors are advised to be aware of investment risks.

CCB Principal Asset Management Co., Ltd.

15 February 2023