

*IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

**Unaudited 2<sup>nd</sup> Quarterly Report for the period ended 30 June 2024**

**of**

**CCB Principal Dual Income Bond Fund\***

*(\*The name of the fund is not indicative of the fund’s performance and return)*

Dear Investors,

We are pleased to inform you that the unaudited 2<sup>nd</sup> quarterly report for the period ended 30 June 2024 (the “**Report**”) of CCB Principal Dual Income Bond Fund (the “**Fund**”) is now available on the website of the Hong Kong Representative, Principal Investment & Retirement Services Limited at [www.principal.com.hk](http://www.principal.com.hk) ^. The Report is available in Simplified Chinese only, and printed copies of the Report will be made available on request at the office of the Hong Kong Representative.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Report belong to Class A Units and Class C Units of the Fund, which shall be for reference only. The performance of Class A Units and Class C Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units and Class C Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

We have also issued a notification announcement dated 18 July 2024 (the “**Announcement**”) regarding the Report to Mainland investors. Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: [www.principal.com.hk](http://www.principal.com.hk) ^).

*^This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

18 July 2024

## 162 funds managed by CCB Principal Asset Management Co., Ltd.

### Notification announcement regarding the 2024 2<sup>nd</sup> Quarterly Report

The board of directors and the directors of CCB Principal Asset Management Co., Ltd warrant that, the information set out in the 2024 2<sup>nd</sup> quarterly report of the 162 funds managed by it does not contain false representations, misleading statements or material omissions, and accept several and joint liability for the truthfulness, accuracy and completeness of the contents.

The full text of the 2024 2<sup>nd</sup> quarterly report of the 162 funds managed by our company will be available to investors at our company's website [www.ccbfund.cn] (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) and the electronic information disclosure website of the China Securities Regulatory Commission (<http://eid.csrc.gov.cn/fund>) (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) on 18 July 2024. Details of the specific funds are as follows:

No.	Name of the fund*
	<b>*Please note, except CCB Principal Selected Growth Mixed Asset Fund (item 132 of the list) and CCB Principal Dual Income Bond Fund (item 89 of the list), all other funds have not been authorized by the Securities and Futures Commission of Hong Kong and therefore are not available for sale to the public of Hong Kong. The English names of these funds are not included in the English version of this notice.</b>
1	建信MSCI中国A股国际通交易型开放式指数证券投资基金
2	建信MSCI中国A股国际通交易型开放式指数证券投资基金发起式联接基金
3	建信MSCI中国A股指数增强型证券投资基金
4	建信阿尔法一年持有期混合型证券投资基金
5	建信安心回报6个月定期开放债券型证券投资基金
6	建信安心回报定期开放债券型证券投资基金
7	建信渤泰债券型证券投资基金
8	建信创新驱动混合型证券投资基金
9	建信创新中国混合型证券投资基金
10	建信创业板交易型开放式指数证券投资基金
11	建信创业板交易型开放式指数证券投资基金发起式联接基金
12	建信纯债债券型证券投资基金
13	建信大安全战略精选股票型证券投资基金
14	建信电子行业股票型证券投资基金
15	建信短债债券型证券投资基金
16	建信多因子量化股票型证券投资基金
17	建信锋睿优选混合型证券投资基金
18	建信福泽安泰混合型基金中基金(FOF)

19	建信福泽裕泰混合型基金中基金(FOF)
20	建信富时100指数型证券投资基金(QDII)
21	建信改革红利股票型证券投资基金
22	建信港股通精选混合型证券投资基金
23	建信高端医疗股票型证券投资基金
24	建信高端装备股票型证券投资基金
25	建信高股息主题股票型证券投资基金
26	建信国证新能源车电池交易型开放式指数证券投资基金
27	建信核心精选混合型证券投资基金
28	建信恒久价值混合型证券投资基金
29	建信恒瑞债券型证券投资基金
30	建信恒生科技指数型发起式证券投资基金(QDII)
31	建信恒稳价值混合型证券投资基金
32	建信弘利灵活配置混合型证券投资基金
33	建信泓利一年持有期债券型证券投资基金
34	建信互联网+产业升级股票型证券投资基金
35	建信沪深300红利交易型开放式指数证券投资基金
36	建信沪深300红利交易型开放式指数证券投资基金发起式联接基金
37	建信沪深300指数增强型证券投资基金(LOF)
38	建信沪深300指数证券投资基金(LOF)
39	建信环保产业股票型证券投资基金
40	建信汇利灵活配置混合型证券投资基金
41	建信汇益一年持有期混合型证券投资基金
42	建信货币市场基金
43	建信积极配置混合型证券投资基金
44	建信嘉薪宝货币市场基金
45	建信健康民生混合型证券投资基金
46	建信精工制造指数增强型证券投资基金
47	建信开元惠享6个月持有期债券型发起式证券投资基金
48	建信开元瑞享3个月持有期债券型证券投资基金
49	建信开元耀享9个月持有期混合型发起式证券投资基金
50	建信科技创新混合型证券投资基金
51	建信利率债策略纯债债券型证券投资基金
52	建信利率债债券型证券投资基金
53	建信灵活配置混合型证券投资基金

54	建信龙头企业股票型证券投资基金
55	建信龙祥稳进6个月持有期混合型基金中基金(FOF)
56	建信民丰回报定期开放混合型证券投资基金
57	建信纳斯达克100指数型证券投资基金(QDII)
58	建信内生动力混合型证券投资基金
59	建信宁安30天持有期中短债债券型证券投资基金
60	建信宁远90天持有期债券型证券投资基金
61	建信彭博政策性银行债券1-5年指数证券投资基金
62	建信普泽养老目标日期2040三年持有期混合型发起式基金中基金(FOF)
63	建信普泽养老目标日期2050五年持有期混合型发起式基金中基金(FOF)
64	建信潜力新蓝筹股票型证券投资基金
65	建信荣瑞一年定期开放债券型证券投资基金
66	建信荣禧一年定期开放债券型证券投资基金
67	建信荣元一年定期开放债券型发起式证券投资基金
68	建信睿安一年定期开放债券型发起式证券投资基金
69	建信睿丰纯债定期开放债券型发起式证券投资基金
70	建信睿富纯债债券型证券投资基金
71	建信睿和纯债定期开放债券型发起式证券投资基金
72	建信睿享纯债债券型证券投资基金
73	建信睿信三个月定期开放债券型发起式证券投资基金
74	建信睿兴纯债债券型证券投资基金
75	建信睿阳一年定期开放债券型发起式证券投资基金
76	建信睿怡纯债债券型证券投资基金
77	建信睿盈灵活配置混合型证券投资基金
78	建信润利增强债券型证券投资基金
79	建信上海金交易型开放式证券投资基金
80	建信上海金交易型开放式证券投资基金联接基金
81	建信上证50交易型开放式指数证券投资基金
82	建信上证50交易型开放式指数证券投资基金发起式联接基金
83	建信上证社会责任交易型开放式指数证券投资基金联接基金
84	建信社会责任混合型证券投资基金
85	建信深证100指数增强型证券投资基金
86	建信深证基本面60交易型开放式指数证券投资基金联接基金
87	建信食品饮料行业股票型证券投资基金
88	建信收益增强债券型证券投资基金

89	CCB Principal Dual Income Bond Fund* (* The name of the fund is not indicative of the fund's performance and return)
90	建信双债增强债券型证券投资基金
91	建信天添益货币市场基金
92	建信添福悠享稳健养老目标一年持有期债券型基金中基金(FOF)
93	建信稳定得利债券型证券投资基金
94	建信稳定鑫利债券型证券投资基金
95	建信稳定增利债券型证券投资基金
96	建信沃信一年持有期混合型证券投资基金
97	建信现代服务业股票型证券投资基金
98	建信现金添利货币市场基金
99	建信现金添益交易型货币市场基金
100	建信现金增利货币市场基金
101	建信消费升级混合型证券投资基金
102	建信新材料精选股票型发起式证券投资基金
103	建信新经济灵活配置混合型证券投资基金
104	建信新能源行业股票型证券投资基金
105	建信新兴市场优选混合型证券投资基金
106	建信鑫安回报灵活配置混合型证券投资基金
107	建信鑫福60天持有期中短债债券型证券投资基金
108	建信鑫和30天持有期债券型证券投资基金
109	建信鑫恒120天滚动持有中短债债券型证券投资基金
110	建信鑫弘180天持有期债券型证券投资基金
111	建信鑫利灵活配置混合型证券投资基金
112	建信鑫稳回报灵活配置混合型证券投资基金
113	建信鑫享短债债券型证券投资基金
114	建信鑫怡90天滚动持有中短债债券型证券投资基金
115	建信鑫悦90天滚动持有中短债债券型发起式证券投资基金
116	建信信息产业股票型证券投资基金
117	建信信用增强债券型证券投资基金
118	建信兴晟优选一年持有期混合型证券投资基金
119	建信兴衡优选一年持有期混合型证券投资基金
120	建信兴利灵活配置混合型证券投资基金
121	建信兴润一年持有期混合型证券投资基金
122	建信央视财经50指数证券投资基金(LOF)
123	建信医疗健康行业股票型证券投资基金

124	建信易盛郑商所能源化工期货交易型开放式指数证券投资基金
125	建信易盛郑商所能源化工期货交易型开放式指数证券投资基金发起式联接基金
126	建信优化配置混合型证券投资基金
127	建信优势动力混合型证券投资基金(LOF)
128	建信优享进取养老目标五年持有期混合型发起式基金中基金(FOF)
129	建信优享科技创新混合型证券投资基金(LOF)
130	建信优享平衡养老目标三年持有期混合型发起式基金中基金(FOF)
131	建信优享稳健养老目标一年持有期混合型基金中基金(FOF)
132	CCB Principal Selected Growth Mixed Asset Fund* (* The name of the fund is not indicative of the fund's performance and return)
133	建信裕丰利率债三个月定期开放债券型证券投资基金
134	建信裕利灵活配置混合型证券投资基金
135	建信战略精选灵活配置混合型证券投资基金
136	建信臻选混合型证券投资基金
137	建信智汇优选一年持有期混合型管理人中管理人(MOM)证券投资基金
138	建信智能汽车股票型证券投资基金
139	建信智能生活混合型证券投资基金
140	建信智远先锋混合型证券投资基金
141	建信中短债纯债债券型证券投资基金
142	建信中国制造2025股票型证券投资基金
143	建信中小盘先锋股票型证券投资基金
144	建信中债1-3年国开行债券指数证券投资基金
145	建信中债1-3年政策性金融债指数证券投资基金
146	建信中债3-5年国开行债券指数证券投资基金
147	建信中证1000指数增强型发起式证券投资基金
148	建信中证500指数量化增强型发起式证券投资基金
149	建信中证500指数增强型证券投资基金
150	建信中证创新药产业交易型开放式指数证券投资基金
151	建信中证红利潜力指数证券投资基金
152	建信中证农牧主题交易型开放式指数证券投资基金
153	建信中证全指医疗保健设备与服务交易型开放式指数证券投资基金
154	建信中证全指证券公司交易型开放式指数证券投资基金
155	建信中证全指证券公司交易型开放式指数证券投资基金发起式联接基金
156	建信中证同业存单AAA指数7天持有期证券投资基金
157	建信中证新材料主题交易型开放式指数证券投资基金
158	建信周盈安心理债债券型证券投资基金

159	建信转债增强债券型证券投资基金
160	建信卓越成长一年持有期混合型证券投资基金
161	上证社会责任交易型开放式指数证券投资基金
162	深证基本面60交易型开放式指数证券投资基金

If there are any queries, please contact our company's customer service hotline (400-81-95533).

The manager of the Fund undertakes to manage and use the assets of the Fund with due diligence, good faith and prudence. However, it does not guarantee any profits or minimum returns of the Fund. Investors should obtain a good understanding of the Fund's risks and return characteristics, and make a prudent investment decision.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

18 July 2024