

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt as to the action you should take, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. This material is not a promotion material. It is not an invitation to subscribe for units in a Fund nor construed as an offer to buy or sell any financial instruments. Unless otherwise defined, terms in this notice shall have the same meaning as that in the prospectus and the Hong Kong covering document of the Fund (as defined below).

CCB Principal Asset Management Co., Ltd. accepts full responsibility for accuracy of the information contained in this document and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading and that opinions expressed in this document have been arrived at after due and careful consideration.

Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

CCB Principal Dual Income Bond Fund*

(*The name of the fund is not indicative of the fund’s performance and return)

Change in Portfolio Manager

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Dual Income Bond Fund (the “**Fund**”) have issued an announcement dated 24 May 2021 regarding the change of portfolio manager of the Fund (“**the Announcement**”). Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website*: www.principal.com.hk).

**The website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

24 May 2021

CCB Principal Dual Income Bond Fund

Change in Portfolio Manager

Date of Announcement: 24 May 2021

1. Basic Information

Fund Name	CCB Principal Dual Income Bond Fund
Fund Abbreviation	CCB Principal Dual Income Bond
Fund Master Code	530017
Fund Manager Name	CCB Principal Asset Management Co., Ltd.
Basis of Announcement	Administrative Measures for Disclosure of Information on Publicly Offered Securities Investment Funds (《公开募集证券投资基金信息披露管理办法》)
Type of Portfolio Manager Change	Resignation of Portfolio Manager
Name of Co-Portfolio Manager	Peng Ziyun
Name of leaving Portfolio Manager	Zhu Hong

2. Related Information on the leaving Portfolio Manager

Name of leaving Portfolio Manager	Zhu Hong
Reasons for leaving	Resignation due to personal reason
Date of leaving	21 May 2021
Transfer to other positions within the company	Not applicable
Has the portfolio manager arranged for de-registration procedures with the China Fund Industry Association (中国基金业协会) in accordance with relevant regulations?	Yes

3. Other Related Matters

The above matter has been filed with the Beijing Office of the China Securities Regulatory Commission in accordance with the relevant procedures.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

24 May 2021