

*IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “Manager”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

### **CCB Principal Dual Income Bond Fund\***

**(\*The name of the fund is not indicative of the fund’s performance and return)**

#### **Change in Portfolio Manager**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Dual Income Bond Fund (the “Fund”) have issued an announcement dated 12 November 2021 regarding the change of portfolio manager of the Fund (the “Announcement”). Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: [www.principal.com.hk/](http://www.principal.com.hk/)^).

*^This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

12 November 2021

# CCB Principal Dual Income Bond Fund\*

*(\*The name of the fund is not indicative of the fund's performance and return)*

## Change in Portfolio Manager

Date of Announcement: 12 November 2021

### 1. Basic Information

Fund Name	CCB Principal Dual Income Bond Fund
Fund Abbreviation	CCB Principal Dual Income Bond
Fund Master Code	530017
Fund Manager Name	CCB Principal Asset Management Co., Ltd.
Basis of Announcement	Administrative Measures for Disclosure of Information on Publicly Offered Securities Investment Funds(《公开募集证券投资基金信息披露管理办法》)
Type of Portfolio Manager Change	Resignation of Portfolio Manager
Name of New Portfolio Manager	Yin Runquan
Name of Co-Portfolio Manager	Peng Ziyun

### 2. Related Information on the Leaving Portfolio Manager

Name of leaving Portfolio Manager	Peng Ziyun
Reason for leaving	Work-related reasons
Date of leaving	10 November 2021
Transfer to other positions within the company	Continue to serve as the portfolio managers of 建信纯债债券型证券投资基金, 建信双债增强债券型证券投资基金, 建信灵活配置混合型证券投资基金, 建信瑞丰添利混合型证券投资基金, 建信稳定丰利债券型证券投资基金, 建信稳定增利债券型证券投资基金 and 建信鑫悦90天滚动持有中短债债券型发起式证券投资基金.^  ^ Please note, these funds have not been authorized by the Securities and Futures Commission of Hong Kong and therefore are not available for sale to the public of

	<b>Hong Kong. The English names of these funds are not included in the English version of this notice.</b>
Has the company arranged for personnel changing procedures with the China Fund Industry Association (中国基金业协会) in accordance with relevant regulations?	Yes

- 3. The above matter has been filed with the Beijing Office of the China Securities Regulatory Commission in accordance with the relevant procedures.**

This notice is hereby given.

**CCB Principal Asset Management Co., Ltd.**

**12 November 2021**