

# 信安豐盛投資系列 – 信安可持續亞洲收益基金 Principal Prosperity Series – Principal Sustainable Asian Income Fund



09/2024

投資涉及風險，投資回報並無保證。派息率並不保證。正數派息率並不代表正數回報。  
Investment involves risks, you may not get back the amount originally invested.  
Dividend rate is not guaranteed. Positive distribution yield does not imply positive return.

## 重要提示 Important Information:

### 信安可持續亞洲收益基金

- 信安可持續亞洲收益基金（「子基金」）將主要（即子基金至少70%的資產淨值）分散投資於亞洲根據環境、社會及管治（「ESG」）因素被視為在可持續發展方面表現優於同業的公司及發行人（「ESG優勝者」）的固定收益證券及固定收益相關證券組合，以及主要投資於ESG情況維持優於相應傳統同業的公司或發行人所發行股票或債務證券的交易所買賣基金（「交易所買賣基金」）及其他集體投資計劃（「集體投資計劃」）（統稱「ESG優勝者交易所買賣基金／集體投資計劃」），並提供中長期回報，包括收益及資本增值。
- 子基金將主要投資於在亞洲註冊、交易和/或在亞洲有重大商業利益的公司和/或位於亞洲的政府和政府相關發行人的固定收益和固定收益相關證券的投資組合，包括但不限於主權、準主權、機構，此類投資可能包括公司債券/債券、浮動利率票據、票據、商業票據、存款證和具有損失吸收功能的債務工具，可能以美元或其他貨幣計價。子基金可將其資產淨值的30%或以上投資於與新興市場有關的固定收益和固定收益相關證券。子基金投資於新興市場及已發展市場的固定收益和固定收益相關證券。有關投資涉及一般投資風險、與債務證券相關的風險、ESG投資政策風險、貨幣風險、集中風險、投資於新興市場的特定風險、與人民幣單位類別有關的風險、與投資金融衍生工具有關的風險、執行主動貨幣持倉的風險及其他附帶風險，因而可引致基金的價值極度波動。
- 子基金可最多將40%的資產淨值投資於評級低於投資級別（即標準普爾BB+或以下級別，或穆迪投資者服務公司或惠譽評級的類似評級）或A+及以下級別（若信貸評級由中國（指中華人民共和國，就本基金說明書而言，不包括香港、澳門和台灣）信貸評級機構指定/評定）或無評級的債務證券。就子基金而言，「無評級債務證券」是指債務證券及發行人均無信貸評級的債務證券。儘管相關評級機構提供的該等信貸評級可作為參考，但基金經理的分獲轉授人將根據多個因素（如槓桿水平、營運溢利率、資本回報、利息覆蓋率、營運現金流量、行業前景、市場競爭地位及企業管治）自行對信貸質素進行評估。子基金可不時將少於30%的資產淨值投資於在中國發行的以人民幣計值的債務證券，包括透過合資格境外投資者（「合資格境外投資者」）機制、中國銀行間債券市場直接投資計劃及/或中港債券通投資，以及城投債（由地方政府融資平台（「地方政府融資平台」）發行的債務工具）。該等地方政府融資平台是由地方政府及/或其隸屬機構為公共福利投資或基建項目集資而成立的獨立法律實體。
- 使用ESG標準可能會影響子基金投資的表現，因此，子基金的表現可能會不同於不使用該標準的類似基金。例如，子基金投資政策中所使用的ESG標準可能導致子基金放棄原本對其有利的購買特定證券的機會，及/或由於該證券不再符合子基金的ESG標準而出售該證券，而此舉可能對其不利。因此，ESG標準的應用可能會限制子基金以其期望的價格及時間購入或處置其投資的能力，亦因此可能導致子基金的虧損。ESG標準的使用亦可能導致子基金只集中關注ESG標準的公司，相比擁有更多樣化投資組合的基金，其價值波動性更大。證券的選擇可能涉及基金經理的分獲轉授人的主觀判斷。ESG標準的評估方法亦缺乏標準化的分類，不同的基金運用該ESG標準的方式可能有所不同。基金經理及基金經理的分獲轉授人的ESG評估考慮到了來自外部數據供應商的ESG數據及研究，相關數據及研究資料可能不完整、不準確或不可用。因此，基於相關資料或數據對證券或發行人的評估存在風險。
- 就收益類單位而言，基金經理可酌情決定從總收益中支付派息，而支付全部或部份歸屬於收益類單位的費用及開支，用作支付收益類單位派息的可分派收益因而有所增加。因此，子基金可實際上從資本中支付派息。對於添利類單位，基金經理可從資本中支付派息。實際上從資本或從資本支付派息等同退還或提取單位持有人於收益類單位或添利類單位的部份原有投資或歸屬於原有投資的任何資本收益。任何該等派息可能導致每單位的資產淨值即時下跌。股息並無保證。
- 子基金亦可將少於30%的資產淨值投資於帶有虧損吸收功能的債務工具，該等債務工具可能包括分類為額外一級/二級資本工具的工具、或有可轉換債券（「或有可轉換債券」）、主順位非優先受償債券（亦稱為三級債券），以及根據金融機構的處置機制合資格視作帶有虧損吸收功能且符合其投資政策和限制的其他工具。發生觸發事件時，這類工具可能會或然減記或應急轉換為股票。
- 子基金的衍生工具風險承擔淨額最高可達其資產淨值的50%。
- 投資涉及風險。投資回報並無保證，投資者未必能取回全部投資本金。
- 此項投資乃閣下之決定，如向您推銷本子基金的中介人未有向您建議本子基金是適合您作投資並向您解釋本子基金如何符合您的投資目標，您不應投資於本子基金。
- 您不應只依賴本宣傳品作出投資決定。您必須參閱信安豐盛投資系列基金說明書，以便獲得進一步資訊（包括投資政策、風險因素、費用、收費及基金資料）。

## Principal Sustainable Asian Income Fund

- The Principal Sustainable Asian Income Fund (“Sub-Fund”) will primarily (i.e. at least 70% of the Sub-Fund’s net asset value) invest in a diversified portfolio of fixed income securities and fixed income-related securities of companies and issuers in Asia which are considered to be outperforming their peers with respect to sustainability performance based on environmental, social and governance (“ESG”) factors (“ESG achievers”) as well as exchange traded funds (“ETFs”) and other collective investment schemes (“CISs”) which primarily invest in debt securities of companies or issuers that maintain better ESG profiles than their corresponding traditional counterparts (collectively “ESG achiever ETFs/CISs”), and provide a return consisting of income and capital growth over medium to long term.
- The Sub-Fund will primarily invest in a portfolio of fixed income and fixed income-related securities of companies domiciled in, traded in and/or with substantial business interests in Asia and/or governments and government-related issuers located in Asia, including but not limited to sovereign, quasi-sovereign, agency, such investments may include corporate bonds/debentures, floating rate notes, bills, commercial papers, certificates of deposit, and debt instruments with loss-absorption features, which may be denominated in USD or other currencies. The Sub-Fund may invest 30% or more of its net asset value in fixed income and fixed income-related securities relating to emerging markets. Such investments carry general investment risk, risks associated with debt securities, ESG investment policy risk, currency risk, concentration risk, specific risks in investing in emerging markets, risks associated with RMB unit classes, risk associated with investment in financial derivative instruments, risks of implementing active currency position and other associated risks that can cause portfolio values to be very volatile.
- The Sub-Fund may invest up to 40% of its Net Asset Value in debt securities rated below investment grade (i.e. rated BB+ or below by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or in the case the credit rating is designated/assigned by a PRC (means the People’s Republic of China excluding Hong Kong, Macau and Taiwan for purpose of this material) credit rating agency, A+ and below, or unrated. For the purpose of the Sub-Fund, “unrated debt securities” is defined as debt securities which neither the debt securities nor their issuers have a credit rating. While these credit ratings provided by the relevant rating agencies serve as a point of reference, the Sub-Delegate of the Manager will conduct its own assessment on the credit quality based on various factors, such as leverage level, operating margin, return on capital, interest coverage, operating cash flows, industry outlook, competitive position in the market and corporate governance. The Sub-Fund may from time to time invest less than 30% of its Net Asset Value in RMB-denominated debt securities issued in the PRC, including through the Qualified Foreign Investor (“QFI”) regime, the China interbank bond market direct access program and/ or China Hong Kong Bond Connect, as well as urban investment bonds which are debt instruments issued by local government financing vehicles (“LGFVs”). These LGFVs are separate legal entities established by local governments and/or their affiliates to raise financing for public welfare investment or infrastructure projects.
- The use of ESG criteria may affect the Sub-Fund’s investment performance and, as such, the Sub-Fund may perform differently compared to similar funds that do not use such criteria. For instance, ESG criteria used in the Sub-Fund’s investment policy may result in the Sub-Fund forgoing opportunities to buy certain securities when it might otherwise be advantageous to do so, and/or selling securities due to such securities no longer meeting the Sub-Fund’s ESG criteria when it might be disadvantageous to do so. As such, the application of ESG criteria may restrict the ability of the Sub-Fund to acquire or dispose of its investments at a price and time that it wishes to do so, and may therefore result in a loss to the Sub-Fund. The use of ESG criteria may also result in the Sub-Fund being concentrated in companies with a focus on ESG criteria and its value may be more volatile than that of a fund having a more diverse portfolio of investments. The selection of securities may involve the subjective judgement of the Fund Manager’s Sub-Delegates. There is also a lack of standardised taxonomy of ESG criteria evaluation methodology and the way in which different funds apply such ESG criteria may vary. The Fund Manager and the Fund Manager’s Sub-Delegates’ ESG assessment takes into account ESG data and research from external data providers, which may be incomplete, inaccurate or unavailable. As a result, there is a risk associated with the assessment of a security or issuer based on such information or data.
- In respect of the Income Class Units, the Fund Manager may at its discretion pay dividend out of gross income while paying all or part of the fees and expenses attributable to the Income Class Units out of the capital of such units, resulting in an increase in distributable income for the payment of dividend by the Income Class Units and therefore, the Sub-Fund may effectively pay dividend out of capital. For Income Plus Class Units, the Fund Manager may pay dividends out of capital. The payment of dividends effectively out of capital or out of capital amounts to a return or withdrawal of part of a Unitholder’s original investment in the Income Class Units or the Income Plus Class Units or from any capital gains attributable to that original investment. Any such distributions may result in an immediate reduction of the net asset value per unit. Dividend is not guaranteed.
- The Sub-Fund may also invest less than 30% of its net asset value in debt instruments with loss absorption features, which may include instruments classified as Additional Tier 1/Tier 2 capital instruments, contingent convertible bonds (“CoCos”), non-preferred senior bonds which may also be known as Tier 3 bonds and other instruments eligible to count as loss-absorbing capacity under the resolution regime for financial institution, in compliance with its investment policy and limits. These instruments may be subject to contingent write-down or contingent conversion to equity on the occurrence of trigger event(s).

- The Sub-Fund's net derivative exposure may be up to 50% of the Sub-Fund's net asset value.
- Investment involves risk. There is no assurance on investment returns and you may not get back the amount originally invested.
- The investment decision is yours but you should not invest in this Sub-Fund unless the intermediary who sells it to you has advised you that it is suitable for you and explained how it is consistent with your investment objectives.
- You should not rely solely on this marketing material when making your investment decision. You should read the Explanatory Memorandum and Product Key Facts of Principal Prosperity Series for further details (including investment policy, risk factors, fees and charges, and fund information).

# 信安可持續亞洲收益基金 Principal Sustainable Asian Income Fund

## 收益(每月)類單位 - 零售 (港元) Income (monthly) Class Units - Retail (HKD)

記錄日期 Period End Date	除息日 Ex-Dividend Date	每單位分派收益 (報價貨幣) Distribution per Unit (fund currency)	記錄日資產淨值 (報價貨幣) NAV at Record Date (fund currency)	除息日資產淨值 (報價貨幣) Ex-Dividend Price (fund currency)	年度化派息率* Annualized Dividend Yield* (%)	分派日期 Payment / Reinvestment Date
30/08/2024	04/09/2024	0.0310	8.25	8.24	4.61%	06/09/2024
31/07/2024	05/08/2024	0.0330	8.18	8.18	4.95%	07/08/2024
28/06/2024	04/07/2024	0.0360	8.08	8.05	5.50%	08/07/2024
31/05/2024	05/06/2024	0.0350	8.07	8.10	5.31%	07/06/2024
30/04/2024	06/05/2024	0.0270	7.96	8.02	4.12%	08/05/2024
28/03/2024	05/04/2024	0.0280	8.14	8.06	4.25%	09/04/2024
29/02/2024	05/03/2024	0.0270	8.10	8.11	4.07%	07/03/2024
31/01/2024	05/02/2024	0.0250	8.14	8.08	3.78%	07/02/2024
29/12/2023	04/01/2024	0.0250	8.16	8.10	3.77%	09/01/2024
30/11/2023	05/12/2023	0.0270	7.96	7.99	4.13%	08/12/2023
31/10/2023	03/11/2023	0.0270	7.70	7.76	4.26%	08/11/2023
29/09/2023	05/10/2023	0.0280	7.82	7.73	4.43%	10/10/2023
31/08/2023	05/09/2023	0.0270	8.00	7.94	4.16%	08/09/2023
31/07/2023	03/08/2023	0.0270	8.07	7.97	4.14%	14/08/2023
30/06/2023	05/07/2023	0.0270	8.15	8.08	4.08%	13/07/2023
31/05/2023	05/06/2023	0.0290	8.18	8.16	4.35%	13/06/2023
28/04/2023	04/05/2023	0.0280	8.33	8.32	4.11%	15/05/2023
31/03/2023	06/04/2023	0.0270	8.29	8.37	3.94%	13/04/2023
28/02/2023	03/03/2023	0.0200	8.19	8.17	2.98%	13/03/2023
31/01/2023	03/02/2023	0.0200	8.40	8.38	2.90%	13/02/2023
30/12/2022	05/01/2023	0.0286	8.09	8.14	4.30%	13/01/2023
30/11/2022	05/12/2022	0.0205	7.98	8.07	3.09%	13/12/2022
31/10/2022	03/11/2022	0.0255	7.66	7.60	4.10%	14/11/2022
30/09/2022	06/10/2022	0.0294	7.98	7.96	4.52%	13/10/2022
31/08/2022	05/09/2022	0.0250	8.35	8.26	3.69%	13/09/2022
29/07/2022	03/08/2022	0.0253	8.40	8.35	3.70%	15/08/2022
30/06/2022	06/07/2022	0.0276	8.41	8.39	4.02%	13/07/2022
31/05/2022	06/06/2022	0.0291	8.69	8.63	4.12%	13/06/2022
29/04/2022	05/05/2022	0.0441	8.82	8.72	6.24%	13/05/2022
31/03/2022	06/04/2022	0.0200	9.06	8.98	2.71%	13/04/2022
28/02/2022	03/03/2022	0.0200	9.42	9.33	2.60%	14/03/2022
31/01/2022	08/02/2022	0.0300	9.65	9.51	3.85%	14/02/2022

\* 年度化派息率 =  $[(1 + \text{每單位派息} / \text{除息日資產淨值})^{\text{每年派息次數}} - 1]$ ，年度化派息率乃基於最近一次派息計算，可能高於或低過實際全年派息率。正數派息率並不代表正數回報。年度化派息率僅供說明用途。子基金成立於2021年12月15日，股息分派紀錄不足一年。

\* Annualized Dividend Yield =  $[(1 + \text{distribution per unit} / \text{Ex-Dividend Price})^{\text{distribution frequency}} - 1]$ , the annualized dividend yield is calculated based on the latest dividend distribution, and may be higher or lower than the actual annual dividend yield. Positive distribution yield does not imply positive return. The Annualized Dividend Yield is for indicative purpose only. The Sub-Fund was launched on 15 December 2021 with less than one year of dividend distribution history.

**信安可持續亞洲收益基金 Principal Sustainable Asian Income Fund**  
**添利(每月)類單位 - 零售 (港元) Income Plus (monthly) Class Units - Retail (HKD)**

記錄日期 Period End Date	除息日 Ex-Dividend Date	每單位分派收益 (報價貨幣) Distribution per Unit (fund currency)	記錄日資產淨值 (報價貨幣) NAV at Record Date (fund currency)	除息日資產淨值 (報價貨幣) Ex-Dividend Price (fund currency)	年度化派息率* Annualized Dividend Yield* (%)	分派日期 Payment / Reinvestment Date
30/08/2024	04/09/2024	0.0466	7.75	7.73	7.48%	06/09/2024
31/07/2024	05/08/2024	0.0463	7.71	7.69	7.47%	07/08/2024
28/06/2024	04/07/2024	0.0458	7.62	7.58	7.50%	08/07/2024
31/05/2024	05/06/2024	0.0458	7.63	7.64	7.44%	07/06/2024
30/04/2024	06/05/2024	0.0453	7.54	7.57	7.42%	08/05/2024
28/03/2024	05/04/2024	0.0465	7.74	7.64	7.55%	09/04/2024
29/02/2024	05/03/2024	0.0464	7.72	7.70	7.48%	07/03/2024
31/01/2024	05/02/2024	0.0467	7.78	7.70	7.53%	07/02/2024
29/12/2023	04/01/2024	0.0409	7.82	7.74	6.53%	09/01/2024
30/11/2023	05/12/2023	0.0400	7.64	7.65	6.46%	08/12/2023
31/10/2023	03/11/2023	0.0387	7.40	7.44	6.42%	08/11/2023
29/09/2023	05/10/2023	0.0394	7.53	7.43	6.55%	10/10/2023
31/08/2023	05/09/2023	0.0404	7.72	7.64	6.53%	08/09/2023
31/07/2023	03/08/2023	0.0408	7.79	7.69	6.56%	14/08/2023
30/06/2023	05/07/2023	0.0413	7.89	7.81	6.53%	13/07/2023
31/05/2023	05/06/2023	0.0415	7.93	7.90	6.49%	13/06/2023
28/04/2023	04/05/2023	0.0423	8.10	8.07	6.47%	15/05/2023
31/03/2023	06/04/2023	0.0423	8.08	8.13	6.43%	13/04/2023
28/02/2023	03/03/2023	0.0419	8.01	7.96	6.50%	13/03/2023
31/01/2023	03/02/2023	0.0431	8.24	8.19	6.50%	13/02/2023
30/12/2022	05/01/2023	0.0416	7.95	7.98	6.44%	13/01/2023
30/11/2022	05/12/2022	0.0411	7.86	7.92	6.41%	13/12/2022
31/10/2022	03/11/2022	0.0395	7.56	7.48	6.52%	14/11/2022
30/09/2022	06/10/2022	0.0412	7.88	7.85	6.48%	13/10/2022
31/08/2022	05/09/2022	0.0400	8.26	8.17	6.04%	13/09/2022
29/07/2022	03/08/2022	0.0403	8.33	8.27	6.01%	15/08/2022
30/06/2022	06/07/2022	0.0404	8.35	8.32	5.99%	13/07/2022
31/05/2022	06/06/2022	0.0418	8.64	8.57	6.01%	13/06/2022
29/04/2022	05/05/2022	0.0425	8.77	8.68	6.04%	13/05/2022
31/03/2022	06/04/2022	0.0400	9.03	8.93	5.51%	13/04/2022
28/02/2022	03/03/2022	0.0400	9.41	9.30	5.29%	14/03/2022
31/01/2022	08/02/2022	0.0400	9.65	9.50	5.17%	14/02/2022

\* 年度化派息率 =  $[(1 + \text{每單位派息} / \text{除息日資產淨值})^{\text{每年派息次數}} - 1]$ ，年度化派息率乃基於最近一次派息計算，可能高於或低過實際全年派息率。正數派息率並不代表正數回報。年度化派息率僅供說明用途。子基金成立於2021年12月15日，股息分派紀錄不足一年。

\* Annualized Dividend Yield =  $[(1 + \text{distribution per unit} / \text{Ex-Dividend Price})^{\text{distribution frequency}} - 1]$ , the annualized dividend yield is calculated based on the latest dividend distribution, and may be higher or lower than the actual annual dividend yield. Positive distribution yield does not imply positive return. The Annualized Dividend Yield is for indicative purpose only. The Sub-Fund was launched on 15 December 2021 with less than one year of dividend distribution history.

**信安可持續亞洲收益基金 Principal Sustainable Asian Income Fund**  
**添利(每月)類單位 - 零售 (人民幣對沖) Income Plus (monthly) Class Units - Retail (RMB hedged)**

記錄日期 Period End Date	除息日 Ex-Dividend Date	每單位分派收益 (報價貨幣) Distribution per Unit (fund currency)	記錄日資產淨值 (報價貨幣) NAV at Record Date (fund currency)	除息日資產淨值 (報價貨幣) Ex-Dividend Price (fund currency)	年度化派息率* Annualized Dividend Yield* (%)	分派日期 Payment / Reinvestment Date
30/08/2024	04/09/2024	0.0302	7.45	7.44	4.98%	06/09/2024
31/07/2024	05/08/2024	0.0300	7.40	7.42	4.96%	07/08/2024
28/06/2024	04/07/2024	0.0297	7.32	7.29	5.00%	08/07/2024
31/05/2024	05/06/2024	0.0296	7.31	7.35	4.94%	07/06/2024
30/04/2024	06/05/2024	0.0293	7.22	7.27	4.94%	08/05/2024
28/03/2024	05/04/2024	0.0300	7.40	7.32	5.03%	09/04/2024
29/02/2024	05/03/2024	0.0300	7.39	7.39	4.98%	07/03/2024
31/01/2024	05/02/2024	0.0302	7.46	7.39	5.02%	07/02/2024
29/12/2023	04/01/2024	0.0245	7.51	7.44	4.02%	09/01/2024
30/11/2023	05/12/2023	0.0239	7.33	7.35	3.97%	08/12/2023
31/10/2023	03/11/2023	0.0231	7.09	7.14	3.95%	08/11/2023
29/09/2023	05/10/2023	0.0263	7.20	7.11	4.53%	10/10/2023
31/08/2023	05/09/2023	0.0270	7.38	7.32	4.52%	08/09/2023
31/07/2023	03/08/2023	0.0275	7.51	7.40	4.55%	14/08/2023
30/06/2023	05/07/2023	0.0397	7.58	7.51	6.53%	13/07/2023
31/05/2023	05/06/2023	0.0400	7.65	7.60	6.50%	13/06/2023
28/04/2023	04/05/2023	0.0408	7.80	7.78	6.48%	15/05/2023
31/03/2023	06/04/2023	0.0530	7.82	7.85	8.41%	13/04/2023
28/02/2023	03/03/2023	0.0558	8.24	8.18	8.50%	13/03/2023
31/01/2023	03/02/2023	0.0575	8.49	8.46	8.47%	13/02/2023
30/12/2022	05/01/2023	0.0571	8.44	8.42	8.45%	13/01/2023
30/11/2022	05/12/2022	0.0579	8.55	8.50	8.49%	13/12/2022
31/10/2022	03/11/2022	0.0574	8.48	8.39	8.53%	14/11/2022
30/09/2022	06/10/2022	0.0584	8.62	8.51	8.55%	13/10/2022
31/08/2022	05/09/2022	0.0593	8.75	8.69	8.50%	13/09/2022
29/07/2022	03/08/2022	0.0586	8.65	8.59	8.50%	15/08/2022
30/06/2022	06/07/2022	0.0585	8.64	8.60	8.48%	13/07/2022
31/05/2022	06/06/2022	0.0604	8.92	8.79	8.56%	13/06/2022
29/04/2022	05/05/2022	0.0611	9.02	8.96	8.50%	13/05/2022
31/03/2022	06/04/2022	0.0600	8.91	8.81	8.49%	13/04/2022
28/02/2022	03/03/2022	0.0600	9.28	9.17	8.14%	14/03/2022
31/01/2022	08/02/2022	0.0600	9.66	9.48	7.86%	14/02/2022

\* 年度化派息率 =  $[(1 + \text{每單位派息} / \text{除息日資產淨值})^{\text{每年派息次數}} - 1]$ ，年度化派息率乃基於最近一次派息計算，可能高於或低過實際全年派息率。正數派息率並不代表正數回報。年度化派息率僅供說明用途。子基金成立於2021年12月15日，股息分派紀錄不足一年。

\* Annualized Dividend Yield =  $[(1 + \text{distribution per unit} / \text{Ex-Dividend Price})^{\text{distribution frequency}} - 1]$ , the annualized dividend yield is calculated based on the latest dividend distribution, and may be higher or lower than the actual annual dividend yield. Positive distribution yield does not imply positive return. The Annualized Dividend Yield is for indicative purpose only. The Sub-Fund was launched on 15 December 2021 with less than one year of dividend distribution history.

**信安可持續亞洲收益基金 Principal Sustainable Asian Income Fund**  
**添利(每月)類單位 - 零售 (美元) Income Plus (monthly) Class Units - Retail (USD)**

記錄日期 Period End Date	除息日 Ex-Dividend Date	每單位分派收益 (報價貨幣) Distribution per Unit (fund currency)	記錄日資產淨值 (報價貨幣) NAV at Record Date (fund currency)	除息日資產淨值 (報價貨幣) Ex-Dividend Price (fund currency)	年度化派息率* Annualized Dividend Yield* (%)	分派日期 Payment / Reinvestment Date
30/08/2024	04/09/2024	0.0466	7.76	7.73	7.48%	06/09/2024
31/07/2024	05/08/2024	0.0462	7.70	7.71	7.43%	07/08/2024
28/06/2024	04/07/2024	0.0457	7.62	7.58	7.48%	08/07/2024
31/05/2024	05/06/2024	0.0457	7.60	7.63	7.43%	07/06/2024
30/04/2024	06/05/2024	0.0452	7.53	7.56	7.42%	08/05/2024
28/03/2024	05/04/2024	0.0463	7.71	7.62	7.54%	09/04/2024
29/02/2024	05/03/2024	0.0462	7.69	7.68	7.46%	07/03/2024
31/01/2024	05/02/2024	0.0466	7.77	7.68	7.53%	07/02/2024
29/12/2023	04/01/2024	0.0409	7.81	7.73	6.54%	09/01/2024
30/11/2023	05/12/2023	0.0399	7.63	7.63	6.46%	08/12/2023
31/10/2023	03/11/2023	0.0386	7.37	7.42	6.42%	08/11/2023
29/09/2023	05/10/2023	0.0392	7.50	7.40	6.55%	10/10/2023
31/08/2023	05/09/2023	0.0402	7.68	7.60	6.54%	08/09/2023
31/07/2023	03/08/2023	0.0408	7.80	7.68	6.56%	14/08/2023
30/06/2023	05/07/2023	0.0411	7.86	7.79	6.52%	13/07/2023
31/05/2023	05/06/2023	0.0414	7.90	7.86	6.51%	13/06/2023
28/04/2023	04/05/2023	0.0421	8.05	8.02	6.48%	15/05/2023
31/03/2023	06/04/2023	0.0420	8.03	8.08	6.42%	13/04/2023
28/02/2023	03/03/2023	0.0417	7.96	7.91	6.51%	13/03/2023
31/01/2023	03/02/2023	0.0429	8.20	8.14	6.51%	13/02/2023
30/12/2022	05/01/2023	0.0416	7.94	7.97	6.45%	13/01/2023
30/11/2022	05/12/2022	0.0411	7.85	7.96	6.37%	13/12/2022
31/10/2022	03/11/2022	0.0393	7.51	7.44	6.53%	14/11/2022
30/09/2022	06/10/2022	0.0410	7.84	7.81	6.48%	13/10/2022
31/08/2022	05/09/2022	0.0398	8.21	8.12	6.04%	13/09/2022
29/07/2022	03/08/2022	0.0401	8.28	8.22	6.01%	15/08/2022
30/06/2022	06/07/2022	0.0402	8.31	8.27	5.99%	13/07/2022
31/05/2022	06/06/2022	0.0416	8.60	8.52	6.02%	13/06/2022
29/04/2022	05/05/2022	0.0422	8.72	8.63	6.03%	13/05/2022
31/03/2022	06/04/2022	0.0400	8.99	8.89	5.53%	13/04/2022
28/02/2022	03/03/2022	0.0400	9.40	9.29	5.29%	14/03/2022
31/01/2022	08/02/2022	0.0400	9.66	9.51	5.17%	14/02/2022

\* 年度化派息率 =  $[(1 + \text{每單位派息} / \text{除息日資產淨值})^{\text{每年派息次數}} - 1]$ ，年度化派息率乃基於最近一次派息計算，可能高於或低過實際全年派息率。正數派息率並不代表正數回報。年度化派息率僅供說明用途。子基金成立於2021年12月15日，股息分派紀錄不足一年。

\* Annualized Dividend Yield =  $[(1 + \text{distribution per unit} / \text{Ex-Dividend Price})^{\text{distribution frequency}} - 1]$ , the annualized dividend yield is calculated based on the latest dividend distribution, and may be higher or lower than the actual annual dividend yield. Positive distribution yield does not imply positive return. The Annualized Dividend Yield is for indicative purpose only. The Sub-Fund was launched on 15 December 2021 with less than one year of dividend distribution history.

**信安可持續亞洲收益基金 Principal Sustainable Asian Income Fund**  
**添利(每月)類單位 - 退休 (港元) Income Plus (monthly) Class Units - Retirement (HKD)**

記錄日期 Period End Date	除息日 Ex-Dividend Date	每單位分派收益 (報價貨幣) Distribution per Unit (fund currency)	記錄日資產淨值 (報價貨幣) NAV at Record Date (fund currency)	除息日資產淨值 (報價貨幣) Ex-Dividend Price (fund currency)	年度化派息率* Annualized Dividend Yield* (%)	分派日期 Payment / Reinvestment Date
30/08/2024	04/09/2024	0.0469	7.81	7.78	7.48%	06/09/2024
31/07/2024	05/08/2024	0.0466	7.76	7.74	7.47%	07/08/2024
28/06/2024	04/07/2024	0.0461	7.67	7.63	7.50%	08/07/2024
31/05/2024	05/06/2024	0.0461	7.67	7.69	7.44%	07/06/2024
30/04/2024	06/05/2024	0.0456	7.59	7.62	7.42%	08/05/2024
28/03/2024	05/04/2024	0.0467	7.78	7.69	7.54%	09/04/2024
29/02/2024	05/03/2024	0.0466	7.76	7.75	7.46%	07/03/2024
31/01/2024	05/02/2024	0.0470	7.82	7.74	7.54%	07/02/2024
29/12/2023	04/01/2024	0.0411	7.86	7.78	6.53%	09/01/2024
30/11/2023	05/12/2023	0.0402	7.68	7.69	6.46%	08/12/2023
31/10/2023	03/11/2023	0.0389	7.43	7.48	6.42%	08/11/2023
29/09/2023	05/10/2023	0.0396	7.56	7.46	6.56%	10/10/2023
31/08/2023	05/09/2023	0.0406	7.75	7.67	6.54%	08/09/2023
31/07/2023	03/08/2023	0.0409	7.83	7.72	6.55%	14/08/2023
30/06/2023	05/07/2023	0.0415	7.92	7.84	6.54%	13/07/2023
31/05/2023	05/06/2023	0.0416	7.96	7.93	6.48%	13/06/2023
28/04/2023	04/05/2023	0.0425	8.12	8.10	6.48%	15/05/2023
31/03/2023	06/04/2023	0.0424	8.11	8.15	6.42%	13/04/2023
28/02/2023	03/03/2023	0.0420	8.03	7.98	6.50%	13/03/2023
31/01/2023	03/02/2023	0.0432	8.26	8.21	6.50%	13/02/2023
30/12/2022	05/01/2023	0.0417	7.97	8.00	6.44%	13/01/2023
30/11/2022	05/12/2022	0.0412	7.87	7.94	6.41%	13/12/2022
31/10/2022	03/11/2022	0.0396	7.57	7.50	6.52%	14/11/2022
30/09/2022	06/10/2022	0.0413	7.90	7.87	6.48%	13/10/2022
31/08/2022	05/09/2022	0.0401	8.28	8.18	6.04%	13/09/2022
29/07/2022	03/08/2022	0.0404	8.34	8.28	6.01%	15/08/2022
30/06/2022	06/07/2022	0.0405	8.36	8.33	5.99%	13/07/2022
31/05/2022	06/06/2022	0.0419	8.65	8.58	6.02%	13/06/2022
29/04/2022	05/05/2022	0.0425	8.78	8.69	6.03%	13/05/2022
31/03/2022	06/04/2022	0.0400	9.03	8.94	5.50%	13/04/2022
28/02/2022	03/03/2022	0.0400	9.42	9.31	5.28%	14/03/2022
31/01/2022	08/02/2022	0.0400	9.66	9.50	5.17%	14/02/2022

\* 年度化派息率 =  $[(1 + \text{每單位派息} / \text{除息日資產淨值})^{\text{每年派息次數}} - 1]$ ，年度化派息率乃基於最近一次派息計算，可能高於或低過實際全年派息率。正數派息率並不代表正數回報。年度化派息率僅供說明用途。子基金成立於2021年12月15日，股息分派紀錄不足一年。

\* Annualized Dividend Yield =  $[(1 + \text{distribution per unit} / \text{Ex-Dividend Price})^{\text{distribution frequency}} - 1]$ , the annualized dividend yield is calculated based on the latest dividend distribution, and may be higher or lower than the actual annual dividend yield. Positive distribution yield does not imply positive return. The Annualized Dividend Yield is for indicative purpose only. The Sub-Fund was launched on 15 December 2021 with less than one year of dividend distribution history.



## 派息政策：

### 信安可持續亞洲收益基金：

#### 就累算類單位而言：

基金經理目前不打算就累算類單位作出派息，且子基金收取的歸屬於累算類單位的任何收益將重新投資於子基金，並在累算類單位的價格中反映。

#### 就收益類單位及添利類單位而言：

1. (a) 股息（如已宣佈），將會於每月分派一次。如派息低於100美元，該股息將再作投資。(b) 並不保證收益類單位將分派股息或將有某目標水平的股息分派。添利類單位擬（但無法保證）宣派由基金經理定期釐定的固定水平的淨收益（即目標收益）。子基金分派的股息水平和頻率並不一定代表子基金的總回報和收益。基金經理可酌情決定股息分派。
2. 子基金就收益類單位分派的股息實際上可能從子基金的資本支付（即從總收益中支付派息，並向資本收取全部或部分子基金相關的費用及開支），用作支付子基金派息的可分派收益將因而有所增加並將可能導致相關類別子基金每單位的資產淨值即時下跌。添利類單位的股息可能從資本中支付。從資本中支付派息或實際上從資本中支付派息等同退還或提取投資者於收益類單位及添利類單位的部分原有投資或歸屬於原有投資的任何資本收益。

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本文件並未經香港證券及期貨事務監察委員會審核。

本文件由信安投資及退休金服務有限公司刊發。

信安互惠基金產品熱線：(852) 2117 8383 網頁：www.principal.com.hk

**Distribution policy:****Principal Sustainable Asian Income Fund:****For Accumulation Class Units:**

The Fund Manager at present does not intend to make distributions in respect of the Accumulation Class Units, and any income received by the Sub-Fund attributable to the Accumulation Class Units will be reinvested in the Sub-Fund and reflected in the price of the Accumulation Class Units.

**For Income Class Units and Income Plus Class Units:**

1. (a) Dividend, if declared, will be paid on a monthly basis. If the dividend is below US\$100, it will be reinvested. (b) There is no guarantee that dividends will be paid or that there will be a target level of dividend payments for the Income Class Units. It is intended, although not guaranteed, that Income Plus Class Units will distribute a set level of net income, i.e. a target income, determined by the Manager on a regular basis. The level and frequency of the dividends paid by the Sub-Fund does not necessarily indicate the total return and income of the Sub-Fund. Dividend payments are subject to the Fund Manager's discretion.
2. The dividend distributed by the Sub-Fund in respect of Income Class Units may be paid effectively out of the capital of the Sub-Fund (i.e. making the distribution from gross income while charging all or part of the relevant Sub-Fund's fees and expenses to capital), resulting in an increase in distributable income for the payment of dividend by the Sub-Fund and may result in an immediate reduction of the net asset value per unit of the relevant class. Dividend for Income Plus Class Units may be paid out of capital. Such payment of dividend out of capital or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment in the Income Class Units and Income Plus Class Units or from any capital gains attributable to that original investment.

Investment involves risks. Past performance of any particular fund or product mentioned in this document is not indicative of future performance of the relevant fund or product, and the value of the each fund or product mentioned in this document may go down as well as up. You should not rely solely on this marketing material when making your investment decision. There is no assurance on investment returns and you may not get back the amount originally invested.

You should consider your own risk tolerance level and financial circumstances before making any investment choices. If you are in doubt as to whether a certain fund or product mentioned in this document is suitable for you (including whether it is consistent with your investment objectives), you should seek legal, financial, tax, accounting and other professional advice to ensure that any decision made is suitable with regards to that your circumstances and financial position, and choose the fund(s)/product(s) suitable for you accordingly.

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