

THE COMPANY

Principal Hong Kong: We're here growing with Hong Kong society for over 25 years. We're passionate and dedicated to help you accomplish your long-term financial goals.

As your asset management & investment planning partner, we combine our capabilities and expertise in global investment management, asset allocation and retirement planning to provide all-round financial solutions to our customers.

- **25+** years of establishment
- **HK\$84+ billion** assets under management (AUM)
- **~720,000+** customers (including employers, members and individual investors)

OUR EXPERTISE

With Principal Hong Kong, you can choose from a series of featured services to best fit your needs.



MPF Schemes & Products

- Principal MPF Scheme Series 800
- Principal MPF – Smart Plan
- Principal MPF – Simple Plan
- Tax-deductible Voluntary Contributions
- Special Voluntary Contributions
- Personal Account



ORSO Schemes

- Principal Trust Company (Asia) Limited Retirement Scheme
- Principal Central Provident Fund



Mutual Funds

- Principal Global Investors Funds
- Principal Life Style Fund
- Principal Prosperity Series
- Mainland-Hong Kong Mutual Recognition of Funds (Southbound)

PRINCIPAL OVERVIEW

Principal Financial Group

Parent Company

- Established since 1879
- A member of FORTUNE 500®
- AUM **US\$695 billion**
- NASDAQ Listed (ticker symbol: PFG)
- Named one of the Barron's 100 Most Sustainable Companies list 2023+

Principal Asset Management

The full-fledged asset manager

- AUM **US\$540.5 billion[^]**
- 1,100+ institutional clients in **80+ markets**
- 600+ global investment professionals
- **14 specialized investment teams**, spanning global equities, fixed income, real estate, and alternatives to optimize investing results

INDUSTRY RECOGNITIONS

Mutual Funds



Hong Kong 2023 BENCHMARK MPF of the Year Awards, Hong Kong ¹

MPF Investment Manager Awards Top MPF Awards



Bloomberg BusinessWeek / Chinese Edition Top Fund Awards 2023 ²

Equity - China (5 & 10 years) - Outstanding Performer

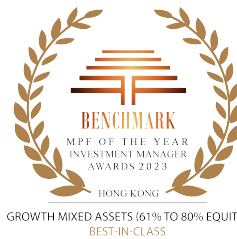
Service



Hong Kong Customer Contact Association (HKCCA) Award 2023 ⁵

Gold Award - Mystery Caller Assessment Award – Finance and Insurance (6th Consecutive Year)

MPF



"The 2023 MPF Awards" by MPF Ratings ³

Silver Rated Scheme

Bronze Rated Schemes

1 Year Consistent Performers – Bond Fund(HKD)

Corporate Social Responsibility



IFPHK Financial Education and ESG Leadership Awards 2023 ⁶

Corporate Financial Education and ESG Leadership – Gold Award

"The 2023 MPF Awards" by MPF Ratings ⁸

Socially Responsible



Good MPF Employer Award (2014 - 2023) by Mandatory Provident Fund Schemes Authority ⁷



10 Years Plus Caring Company (2010 - 2023) by the Hong Kong Council of Social Service ⁹

Footnotes:

Data as of 31 December 2023, unless otherwise noted.

+ This is Barron's fifth year producing this list, utilizing Calvert Research and Management to assess the 1,000 largest publicly traded companies. According to Barron's, the ranking system looks at ESG performance indicators such as workplace diversity, data security, and greenhouse-gas emissions, as well as how the company performs for shareholders, employees, customers, community, and the planet.

^ See Disclaimer page for AUM description. Marketing assets under management include certain assets that are managed by Principal International and Retirement and Income Solutions divisions of Principal.

1. BENCHMARK Mutual Funds - House Awards received are based on qualitative methodology and tools determined by BENCHMARK and reflects the performance data between 1 July 2022 and 30 June 2023. For details of source and assessment criteria, please refer to <https://www.benchmark.today/fund-awards/>. BENCHMARK MPF Investment Manager Awards and BENCHMARK MPF Trustee Awards received are based on qualitative methodology and tools determined by BENCHMARK and reflects the performance data between 1 July 2022 and 30 June 2023. BENCHMARK Top MPF Awards received are based on performance data provided by FE fundinfo to BENCHMARK between 1 October 2022 and 30 September 2023. For details of source and assessment criteria, please refer to <https://www.benchmark.today/mpf-awards/>.

2. Bloomberg BusinessWeek/Chinese Edition Top Fund Awards 2023's Mandatory Provident Fund Awards were assessed with 1-year, 5-years and 10-years total return figures. For details of source and assessment criteria, please refer to <http://www.bbwhkevent.com>.

3. "The 2023 MPF Awards" by MPF Ratings are based on quantitative assessment (fund choices and performance, fees and charges), and qualitative assessment of an MPF Scheme as of 31 December 2022. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/ratings-methodology/>. MPF Ratings Consistent Performers recognize constituent funds which deliver the best fund performance within their respective fund categories in MPF Ratings MPF performance survey. 1 Year Consistent Performers recognize the constituent funds with the best 1 year absolute performance. In the case of 5 year, 10 year, 15 year and 20 year performance, performance is calculated on a risk adjusted basis. Risk is defined as standard deviation calculated based on monthly performance of the constituent funds. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/awards-methodology/>.

4. The Refinitiv Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. Lipper Leaders fund ratings do not constitute and are not intended to constitute investment advice or an offer to sell or the solicitation of an offer to buy any security of any entity in any jurisdiction. For more information, see lipperfundawards.com.

5. The HKCCA Award 2023 - Mystery Caller Assessment Awards were presented by Hong Kong Customer Contact Association (HKCCA) in 2023. For details, please refer to <https://hkcca.com/>.

6. The IFPHK Financial Education and ESG Leadership Awards 2023 was organized by the Institute of Financial Planners of Hong Kong (IFPHK) to pay tribute to commercial firms, non-profit organizations, and individuals that have made significant efforts in improving financial literacy levels in Hong Kong. For details, please refer to <https://www.ifphk.org/FELA2023/about-the-awards.html>.

7. Good MPF Employer Award is granted on an annual basis, covering the period from 1 April to 31 March of the following year. Employers who fulfil the criteria stated by MPFA can submit an application for the Award. For more information, please see <https://www.mpfa.org.hk/en/mpfa/corporate-events/good-mpf-employer-award>.

8. MPF Ratings' Socially Responsible award is based on the assessment of socially responsible initiatives of an MPF

Scheme as of 31 December 2023, which promote socially responsible outcomes that visibly benefit the people of Hong Kong. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/awards-methodology/>.

9. Caring Company is to commend and give public recognition to business organisations that demonstrate good corporate citizenship. For more information, please see https://www.caringcompany.org.hk/en_nomi_intro.php.

The above awards information is for reference only, investors should not invest in reliance on this document alone.

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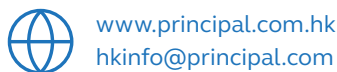
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