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*IMPORTANT: If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*Please note that apart from the Fund, other funds mentioned in the Announcement are not authorized by the SFC for offering to the public in Hong Kong. It is an offence to offer any of these funds which have not been authorized by the SFC to the public in Hong Kong unless an exemption under section 103 of the Securities and Futures Ordinance applies. Intermediaries should take note of this.*

*CCB Principal Asset Management Co., Ltd. accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make every statement misleading and that opinions expressed in this notice have been arrived at after due and careful consideration.*

**CCB Principal Asset Management Co., Ltd. (the “Manager”) –**  
**Re: CCB Principal Dual Income Bond Fund\***  
*(\*The name of the fund is not indicative of the fund’s performance and return)*  
**(the “Fund”)**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the Manager of the Funds, wish to inform unitholders and prospective investors of the Funds that, we have issued an announcement dated 24 April 2018 regarding “Announcement in relation to change of portfolio manager of CCB Principal Dual Income Bond Fund” (the “Announcement”).

Please refer to the Announcement for further details.

If you have any queries, please direct these to the Hong Kong Representative at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon or by telephone at its telephone number: (852) 2117 8383.

*^This website has not been reviewed by the Securities and Futures Commission*

CCB Principal Asset Management Co., Ltd.  
24 April 2018

**Announcement in relation to change of portfolio manager of CCB Principal Dual Income Bond Fund**

Date of Announcement: 24 April 2018

**1. Basic Information of Announcement**

Fund Name	CCB Principal Dual Income Bond Fund
Fund Alias	CCB Principal Dual Income
Fund Main Code	530017
Fund Manager	CCB Principal Asset Management Co., Ltd.
Legal basis of Announcement	“Measures for the Administration of Information Disclosure of Securities Investment Fund”
Nature of change of Portfolio Manager	Appointment of new portfolio manager
Name of New Portfolio Manager	Zhu Hong
Name of other Portfolio Manager who co-manages the Fund	Zhong Jingdi

**2. Basic Information of new portfolio manager**

Name of New Portfolio Manager	Zhu Hong			
Date of Appointment	20 April 2018			
Number of years as Securities Practitioner	10			
Number of Years for Securities Investment Management	10			
Previous Working Experience	Ms. Zhu served as the debt-investment assistant at Great Life Insurance Co., Ltd. from January 2007 to April 2009; she served as the assistant to the director at the fixed income department at Tianhong Asset Management Co., Ltd. from April 2009 to December 2011; she served as the director of the fixed income department at Wanjia Fund Management Co., Ltd. from January 2012 to May 2014; Since August 2015, she has consecutively served as deputy director at the investment management department, deputy director and deputy manager at the fixed income department at CCB Principal Asset Management Co., Ltd..			
Including: names of publicly offered funds managed and period of service	Fund Code	Fund Name	Date of Appointment	Date of Departure
	003427	CCB Principal HengYuan YiNian Dingqi Kaifang Bond Securities Investment Fund*	2016-11-17	-
	000207	CCB Principal	2016-06-01	-

		Shuangzhai Enhanced Bond Securities Investment Fund*		
	002281	CCB Principal Yuli Linghuo Peizhi Mixed Securities Investment Fund*	2018-01-05	-
	002281	CCB Principal Anxin Baoben No. 3 Mixed Securities Investment Fund*	2016-01-04	2018-01-04
	001858	CCB Principal Xinli Linghuo Peizhi Mixed Securities Investment Fund*	2017-11-04	-
	001858	CCB Principal Anxin Baoben No. 2 Mixed Securities Investment Fund*	2015-10-29	2017-11-03
Any administrative penalty or administrative supervision measures imposed by a regulatory body or not?	No			
Nationality	PRC			
Academic Qualifications	PhD, Master			
Registered with the Asset Management Association of China or not?	Yes			
* Apart from CCB Principal Dual Income Bond Fund, the English translation of the Chinese names of such other funds are for information purpose only, and should not be regarded as the official English names of such Chinese names.				

### 3. Other Matters

The filing procedures for the above matter with the China Securities Regulatory Commission Beijing Branch have been completed.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

24 April 2018